



# RI TRANSPARENCY REPORT

2019

OAKK B.V.

## About this report

This report is an export of the individual Signatory organisation responses to the PRI Service Provider Reporting Framework during the 2019 reporting period. It shows your responses to mandatory indicators, as well as responses to voluntary indicators that your organisation has agreed to make public. You will be able to access the public RI Transparency report of your organisation and that of other signatories on the [PRI website](#).

The information is presented exactly as it was reported. Where an indicator offers a response option that is multiple-choice, all options that were available to the signatory to select are presented in this report. Presenting the information exactly as reported is a result of signatory feedback which suggested the PRI not summarise the information. As a result, the reports can be extensive. However, to help easily locate information, there is an **Index** which highlights where the information can be found and summarises the indicators that signatories complete and disclose.

## Understanding the Index

The Index summarises the response status for the individual indicators and modules. It can be used by stakeholders as an 'at a glance' summary of reported information and to identify particular themes or areas of interest.

All indicators within a module are presented below. The status of indicators is shown with the following symbols:

Symbol	Status
✓	The signatory has completed all mandatory parts of this indicator
☑	The signatory has completed some parts of this indicator
🔒	This indicator was not relevant for this signatory
-	The signatory did not complete any part of this indicator.
⚠	The signatory has flagged this indicator for internal review

Within the table, indicators marked in blue are mandatory to complete. Indicators marked in grey are voluntary to complete.

# Index

Organisational Overview			
Indicator	Short description	Status	Disclosure
OO 01	Basic information	✓	Public
OO 02	Reporting year	✓	Public
OO 03	Signatory category and services	✓	Public
OO 04	Other offerings/business activities	✓	Public
OO 05	Breakdown of ESG coverage	✓	Asset mix disclosed in OO 06
OO End		✓	-

Strategy and Governance			
Indicator	Short description	Status	Disclosure
SG 01	Responsible investment policy	✓	Public
SG 02	Norms used to develop policies	✓	Public
SG 03	Policy offerings to clients	✓	Public
SG 04	ESG/RI in business offerings	✓	Public
SG 05	Outsourcing of services	✓	Private
SG 06	Providing training/education	✓	Public
SG 07	Applying, advancing and promoting the PRI principles	✓	Public
SG 08	Actions taken to promote responsible investment	✓	Public
SG 09	Long term trends	✓	Public
SG 10	Interaction with asset owners	✓	Public
SG 11	Aligning approach with investor goals	✓	Public
SG 12	ESG recommendations not aligned with investor goals	✓	Public
SG 13	Seeking feedback from clients	✓	Public
SG 14	Managing conflicts of interest	✓	Public
SG End		✓	-

## Investment Consultancy

Indicator	Short description	Status	Disclosure
IC 01	Advisory and consultancy services	✓	Public
IC 02	Assets under advisement	✓	Private
IC 03	Fiduciary manager services	✓	Public
IC 04	ESG in contracts with clients	✓	Private
IC 05	Custodian services	✓	Public
IC 06	RI and ESG in clients' investment policy development	✓	Public
IC 07	ESG incorporation into strategic asset allocation	✓	Public
IC 08	Scenario analysis and/or modelling	✓	Private
IC 09	Demonstrating value on asset allocation	✓	Public
IC 10	Investment research activities	✓	Public
IC 11	Demonstrating value on investment research	✓	Public
IC 12	ESG in manager selection, appointment and monitoring	✓	Public
IC 13	Manager selection activities	✓	Public
IC 14	Incorporating asset owners' investment principles in selection of manager	✓	Public
IC 15	ESG in selection due diligence	✓	Public
IC 16	Monitoring investment managers on ESG	✓	Public
IC 17	Reporting back to asset owners	✓	Public
IC 18	Demonstrating value on manager selection, appointment and monitoring	✓	Public
IC 19	ESG in manager selection, appointment and monitoring	✓	Private
IC End		✓	-

OAKK B.V.

Reported Information

Public version

Organisational Overview

## PRI disclaimer

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## Basic Information

OO 01	Mandatory	Public	Descriptive
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OO 01.1 Select the location of your organisation's headquarters.

Netherlands

OO 01.2 Indicate the number of countries in which you have offices (including your headquarters).

- 1
- 2 - 5
- 6 - 10
- 11 - 50
- >50

OO 01.3 Indicate the regions where you have offices.

- Africa/Middle East
- Asia (excluding Japan & Korea)
- Australasia
- Canada
- Continental Europe
- Japan & Korea
- Nordic
- South America
- UK & Ireland
- US

OO 01.4 Indicate the approximate number of staff in your organisation in full-time equivalents (FTE).

FTE

5

## Reporting Year

OO 02	Mandatory	Public	Descriptive
-------	-----------	--------	-------------

OO 02.1 Indicate the year end date for your reporting year.

31/12/2018

## Signatory category and services

OO 03

Mandatory

Public

Gateway

OO 03.1

Indicate which of the following categories reflect your core offering or main business activity:

- Reporting
- Active Ownership Services
- Investment consultancy
- Research and Data Provision
- Other, specify (1)  
it portal infrastructure for investment advisers and clients
- Other, specify(2)

OO 03.2

Indicate any other business activities that your organisation offers. Indicate whether you wish to report on these business areas.

- Reporting
- Active Ownership Services
- Research and Data provision
- Other, specify (3)
- Other, specify (4)
- None of the above

OO 04

Mandatory

Public

Descriptive

OO 04.1

Please describe your core offering or main business activity.

### De OAKK portal

Met een uniek concept maakt OAKK het mogelijk voor de financieel adviseur en vermogensbeheerder om vermogensdoelstellingen voor klanten te realiseren. Dit doet ze met een online fintech-oplossing: de OAKK portal, die de adviseur in staat stelt om op een efficiënte manier de kennis over een klant (=inventarisatie en vastlegging data) te vertalen naar een middelenverdeling. Met deze data en de vastgestelde middelenverdeling kan een vermogensbeheerder de klantenintake efficiënter verrichten en een gericht beleggingsvoorstel doen.

Een (potentiële) klant accepteert het voorstel en ondertekent de beheerovereenkomst en bijhorende voorwaarden en relevante documenten. Dit gebeurt volledig digitaal in de klanten portal. Na acceptatie en ondertekening kan de klant zelf alle relevante informatie inzien, zoals overeenkomst, klantendata, voorwaarden en rapportage.

In de OAKK portal worden onder andere kwantitatieve methodieken ter beschikking gesteld om geschikte profielen voor klanten te bepalen en te monitoren. Deze geven inzicht hoe het vermogen zich kan ontwikkelen en of de vermogensdoelstelling van de klant haalbaar is. Daarbij maakt zij gebruik van scenarioanalyses en geeft ze deze helder weer in een grafiek met daarin de verwachte, zeer negatieve en zeer positieve scenario's.

OAKK B.V.

Reported Information

Public version

Strategy and Governance

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## Policy on ESG beliefs

SG 01

Mandatory

Public

Gateway

SG 01.1

Describe how your organisation's philosophy incorporates environmental, social and governance factors, and the link to your business offerings.

OAKK omarmt duurzaamheid als een belangrijke factor voor haar beleggings- en bedrijfsprocessen. De betrokkenheid ten opzichte van duurzaamheid is gebaseerd op de belangrijkste beweegredenen van OAKK: een beter rendement voor iedereen en specifiek voor onze klanten.

OAKK gebruikt bewust geen groenrating maar is lid van een bredere beweging UNPRI (link: UNPRI stukje). OAKK wil voortdurend een bijdrage leveren om de wereld duurzaam te veranderen. Dit doen we door duurzaamheidscriteria te implementeren in onze beleggings- en bedrijfsprocessen. Dit verlangen wij ook van de beleggingspartijen waar wij mee werken. Wij zullen niet dogmatisch groene beleggingsratings volgen maar sluiten actief specifieke beleggingen uit die niet passen bij onze visie op duurzaamheid.

SG 01.2

Indicate whether you have policies that formalise the incorporation of your ESG beliefs in your business offerings.

Yes

SG 01.3

Indicate the components/types of your internal policy and provide the URL and/or an attachment of the document/s if they are publicly available.

### Policy components/types

Policy setting out your overall ESG/RI approach

Public availability

URL

Attachment

Not publicly available

Formalised guidelines on environmental factors

Formalised guidelines on social factors

Formalised guidelines on corporate governance factors

Formalised guidelines on engagement approach

Formalised guidelines on (proxy) voting approach

Other, specify (1)

Other, specify (2)

None of the above

**SG 01.4**

Indicate how these are put into practice internally across the organisation.

- Regular employee training on RI/ESG
  - Regular RI/ESG policy awareness building for employees
  - Establishment of policy review committee/team
  - Remuneration linked to achieving ESG objectives
  - Annual performance incentives
  - Other, specify (1)
  - Other, specify (2)
  - Other, specify (3)
- No

**SG 02****Mandatory****Public****Descriptive****SG 02.1**

Indicate what frameworks and guidelines you have used to develop your organisation's policies. Tick all that apply.

- UN Principles for Responsible Investment
- UN Global Compact Principles
- UN Guiding Principles on Business and Human Rights
- Universal Declaration of Human Rights
- UN Sustainable Development Goals
- International Bill of Human Rights
- International Labour Organisation Conventions
- IFC Performance Standards
- United Nations Convention Against Corruption
- OECD Principles of Corporate Governance
- OECD Guidelines for Multinational Enterprises
- GRI standards
- Other, specify (1)
- Other, specify (2)
- Other, specify (3)
- None of the above

**SG 03****Mandatory****Public****Descriptive****SG 03.1**

Indicate whether you offer standard, off the shelf, policies to clients as part of your product offerings, and/or whether you create tailored versions for individual clients.

	Off the shelf	Tailored
Asset class-specific RI guidelines	<input type="checkbox"/>	<input type="checkbox"/>
Sector-specific RI guidelines	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Screening/exclusions policy	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Engagement policy	<input type="checkbox"/>	<input type="checkbox"/>
(Proxy) voting policy	<input type="checkbox"/>	<input type="checkbox"/>
RI guidelines set out within the Investment Policy Statement (IPS)	<input type="checkbox"/>	<input type="checkbox"/>
RI guidelines set out in a freestanding RI policy	<input type="checkbox"/>	<input type="checkbox"/>
Multi-year RI implementation project plan	<input type="checkbox"/>	<input type="checkbox"/>
Conflict of interest management policy	<input type="checkbox"/>	<input type="checkbox"/>
Stockbroker allocation policy	<input type="checkbox"/>	<input type="checkbox"/>
Securities lending policy	<input type="checkbox"/>	<input type="checkbox"/>
Other, please specify (1)	<input type="checkbox"/>	<input type="checkbox"/>
Other, please specify (2)	<input type="checkbox"/>	<input type="checkbox"/>
Other, please specify (3)	<input type="checkbox"/>	<input type="checkbox"/>
None of the above	<input type="checkbox"/>	<input type="checkbox"/>

**SG 03.2**

Provide a brief description of the key elements, any variations, or exceptions applying to these policies.

Het thema duurzaamheid implementeren wij in onze strategische allocaties op de volgende wijze:

Minimaal 80% van de gerapporteerde beleggingsfondsen worden beheerd door organisaties die lid zijn van de UNPRI

De beheerorganisaties hebben een duidelijk beleid en visie over duurzaamheid

Bepaalde sectoren (controversiële wapens, bepaalde fossiele brandstoffen, overtreders van mensenrechten, arbeidstandaarden en tabak) worden uitgesloten of beperkt door de ons geselecteerde gerapporteerde beheerders.

SG 04

Mandatory

Public

Descriptive

**SG 04.1**

Briefly describe how you include ESG/RI factors as part of your business offerings.

**Business area**

Investment Consultancy

How you include ESG/RI factors

Hoe implementeren wij het thema duurzaamheid m.b.t. strategische allocatie:

Minimaal 80% van onze fondskeuzes worden beheerd door organisaties die lid zijn van de UNPRI

De beheerorganisaties hebben een duidelijk beleid en visie over duurzaamheid

Bepaalde sectoren (controversiële wapens, bepaalde fossiele brandstoffen, overtreders van mensenrechten, arbeidstandaarden en tabak) worden uitgesloten of beperkt door de ons geselecteerde beheerders.

Other, specify (1)

OAKK platform

How you include ESG/RI factors

digitale verwerking van het hele proces, waarin duurzame haalbaarheid van doelen van klanten centraal staan

**SG 04.2**

Indicate the roles in your organisation, and indicate for each whether they have oversight and/or implementation responsibilities for ESG/RI within the organisation.

Roles present in your organisation	Oversight/accountability for ESG/RI	Implementation of ESG/RI
Board	<input type="checkbox"/>	<input type="checkbox"/>
Directors	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Chief Executive Officer (CEO), Chief Financial Officer (CFO)	<input type="checkbox"/>	<input type="checkbox"/>
Chief Operating Officer (COO), Chief Information Officer (CIO)	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Other chief-level staff	<input type="checkbox"/>	<input type="checkbox"/>
Other heads of department	<input type="checkbox"/>	<input type="checkbox"/>
Dedicated ESG/RI staff	<input type="checkbox"/>	<input type="checkbox"/>
Other role, specify (1)	<input type="checkbox"/>	<input type="checkbox"/>
Other role, specify (2)	<input type="checkbox"/>	<input type="checkbox"/>
Other role, specify (3)	<input type="checkbox"/>	<input type="checkbox"/>
None of the above	<input type="checkbox"/>	<input type="checkbox"/>

**SG 04.3**

Indicate how you ensure ESG/RI expertise for the roles where there are RI oversight/accountability or implementation responsibilities.

- Staff training on ESG/RI incorporation
- Including ESG/RI knowledge factors in personal development goals
- Having development plans that aim to enhance ESG/RI knowledge of certain departments/teams/individuals
- Including ESG in selection requirements when hiring new employees
- Other, specify (1)
- Other, specify (2)
- Other, specify (3)
- Other, specify (4)

**SG 04.4** Indicate whether your organisation has any ESG/RI linked incentives for its employees.

- Yes
- No
- Not applicable, we ensure that employees incorporate ESG/RI into services through other mechanisms.

**SG 06**

**Mandatory**

**Public**

**Descriptive**

**SG 06.1** Indicate whether you provide training/educational services on ESG/RI. Tick all that apply.

- Board/trustee training
- Investment manager training
- Regulatory bodies/authorities or public policy makers
- Corporate executive training
- ESG analyst training
- Other, specify (1)
- Other, specify (2)
- Other, specify (3)
- Other, specify (4)
- None of the above

**SG 07**

**Mandatory**

**Public**

**Descriptive**

**SG 07.1** Describe how your organisation applies, advances and promotes the PRI Principles.

Als organisatie proberen wij de principles toe te passen in onze bedrijfs- en beleggingsprocessen.  
Door minimaal 80% van onze investment providers ook ondertekenaar te laten zijn van deze PRI principles.

**SG 08**

**Mandatory**

**Public**

**SG 8.1**

Indicate which of the following actions your organisation has taken to promote responsible investments during the reporting year, independently of collaborative initiatives.

- Provided or supported education or training programmes for clients, investment managers, legal advisers or other investment organisations
- Provided financial support for academic or industry research on responsible investment
- Encouraged better transparency and disclosure of responsible investment practices across the investment industry
- Spoken publicly at events and conferences to promote responsible investment
- Written and published in-house research papers on responsible investment
- Encouraged the adoption of the PRI
- Written and published articles on responsible investment in the media
- Policy engagements and other interactions
- Other, specify
- None of the above

**SG 09****Mandatory****Public****Descriptive****SG 09.1**

Indicate which of the following long-term trends are addressed in your product outputs.

- Changing demographics

**SG 09.2**

Explain how this long-term trend affects your product outputs.

Verantwoordelijkheid: OAKK realiseert lange termijn vermogensdoelen van klanten

Duurzaamheid komt bij OAKK met name tot haar recht bij het realiseren van de lange termijn vermogensdoelen van onze cliënten. OAKK voelt zich hiervoor verantwoordelijk en zorgt samen met de financieel adviseur dat deze doelen helder worden bepaald, gemonitord en behaald. Een belangrijke factor die geïntegreerd is in ons beleggingsproces is de wijze waarop de door ons geselecteerde fondsbeheerders omgaan met verantwoordelijk beleggen.

- Climate change
- Resource scarcity
- Technology developments
- Other, specify (1)
- Other, specify (2)
- None of the above

**SG 10****Mandatory****Public****SG 10.1**

Indicate whether you interact with asset owner clients.

- Yes, we do

**SG 10.2**

Indicate the typical frequency and type of interactions with your asset owner clients.

**Type of interaction**

- Board of trustee meetings
- Investment committee meetings

	Frequency
--	-----------

- Daily
  - Weekly
  - Monthly
  - Quarterly
  - Bi-annually
  - Annually
  - Ad-hoc basis
  - Other, specify
- Trustee training workshops
  - Formal regular meetings outside of committee meetings

	Frequency
--	-----------

- Daily
  - Weekly
  - Monthly
  - Quarterly
  - Bi-annually
  - Annually
  - Ad-hoc basis
  - Other, specify
- Informal meetings or calls
  - Other, specify (1)
  - Other, specify (2)
  - Other, specify (3)
- No, we do not

SG 11	Mandatory	Public	Descriptive
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<b>SG 11.1</b>	Describe how you typically align your organisation's philosophy and approach to ESG/RI with your investor clients' goals.
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- Describe
 

Wij laten de jachtige wereld om ons heen links liggen en richten ons alleen op het behalen van bestendige resultaten voor onze klanten. Onze klanten hebben overwegend een beleggingshorizon van vele jaren en bouwen vermogen op voor hun toekomst. De beleggingskeuzes die wij maken staan logischerwijs in het teken van de lange termijn doelen van onze klanten. Daarom selecteren wij uitsluitend beheerders/beleggingen die net als wij een scherp oog hebben voor duurzame groei. Deze visie is geïntegreerd in ons beleggingsproces en niet specifiek een (verkoop)doel op zichzelf.
- This is not relevant for my organisation



SG 12	Mandatory	Public	Descriptive
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**SG 12.1** Describe what steps you take, if any, when your ESG recommendations are not in line with your investor clients' goals.

- Describe
- This is not relevant for my organisation

SG 13	Mandatory	Public	Descriptive
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**SG 13.1** Indicate whether you seek feedback from clients on your RI/ESG services and product offerings

- Yes

**SG 13.2** Describe how you use this feedback in your RI/ESG services and product offerings.

Voortdurend gebruiken we feedback van klanten om processen (niet alleen m.b.t. RI/ESG) te veranderen en te verbeteren.

- No

SG 14	Mandatory	Public	Descriptive
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**SG 14.1** Indicate whether your organisation has a policy for managing potential conflicts of interest.

- Yes

**SG 14.2** Describe how you manage potential conflicts of interest.

OAKK heeft interne beheersingsmaatregelen getroffen om wet- en regelgeving met betrekking tot Belangenconflicten te handhaven. Verdere interne beheersingsmaatregelen zijn of kunnen worden geïmplementeerd ter verzekering dat Belangenconflicten adequaat worden beheerd; dit kan bijvoorbeeld leiden tot functiescheiding, verwijdering van afgeleide beloningsvormen of het oprichten van 'Chinese Walls'. OAKK respecteert de vertrouwelijkheid van informatie die zij ontvangt over haar cliënten en hanteert een 'Need to Know' benadering. OAKK leeft alle van toepassing zijnde wet- en regelgeving na met betrekking tot de behandeling van dergelijke informatie. Toegang tot vertrouwelijke informatie is beperkt tot personeel, waarvoor de informatie noodzakelijk is voor de uitoefening van hun functie, welke consistent is met de legitieme belangen van de cliënt of OAKK

**SG 14.3** Describe how you ensure that company employees do not derive any personal gain from the use of information collected during your work process.

zie voig antwoord

- No

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## Investment Consultancy

IC 01

Mandatory

Public

Gateway

IC 01.1

Indicate whether your services include any of the following.

- Custodial services
- Investment policy development
- Strategic asset allocation
- Investment research
- Manager selection and monitoring
- Other, specify (1)
- Other, specify (2)

IC 01.2

Describe how you ensure that your clients understand your service offerings and fee structure.

wordt weergegeven in contracten en portals

IC 03

Mandatory

Public

IC 03.1

Indicate whether you provide fiduciary management services.

- Yes, we do
- No, we do not

## Custodial services

IC 05

Mandatory

Public

Descriptive

IC 05.1

Briefly describe your custodial service and its main components.

we hold investment fund participations in a nominee facility (beleggersgiro)

IC 05.2

Describe how you incorporate ESG factors and RI-considerations into your custodial service.

not

## Investment policy development

IC 06

Mandatory

Public

Descriptive

**IC 06.1** Indicate whether you incorporate ESG into your investment policy development services.

- Yes, we systematically do
- Yes, we occasionally do
- No, we do not. Plans exist to start incorporating ESG into our investment policy development services in the next reporting year
- No, we do not

**IC 06.2** Describe how you incorporate ESG factors and RI-considerations when advising clients on investment policy development.

- Reviewing clients' existing beliefs, investment strategy and policy in relation to ESG
- Aligning the investment policy with the client's overall ESG strategy and beliefs
- Helping clients define ESG investment objectives and incorporating them into policies
- Considering short-, mid- and long term time horizon of overall ESG objectives outlined in the investment policy
- Aligning the policy with the relevant ESG/RI regulations and public policies
- Determining the client's ESG risk-profile and materiality to inform the investment policy
- Providing education/training and case studies if the client has not publicly committed to an ESG strategy or beliefs, and seek to incorporate ESG in the client's investment policy
- Researching ESG trends, terminology and current debate to help create an up-to-date investment policy
- Comparing ESG investment policies of peers in the market
- Engaging the client organisations' executives, Board and any other stakeholders in the ESG investment policy development
- Outlining the internal governance structure responsible for overseeing and implementing the investment policy
- Other, specify (1)
- Other, specify (2)
- Other, specify (3)

**Strategic asset allocation**

**IC 07**

**Mandatory**

**Public**

**Descriptive**

**IC 07.1** Indicate whether you incorporate ESG into your strategic asset allocation process.

- Yes, we systematically do
- Yes, we occasionally do
- No, we do not. Plans exist to start incorporating ESG into our strategic asset allocation services in the next reporting year
- No, we do not

**IC 07.2** Indicate how you incorporate ESG into your strategic asset allocation services.

- Incorporating climate change-specific factors into analysis of expected returns and risk levels across asset classes
- Incorporating other environmental considerations into analysis of long-term expected returns and risk levels across asset classes e.g. biodiversity, water usage, waste and hazardous materials
- Incorporating social considerations into analysis of long-term expected returns and risk levels across asset classes
- Incorporating governance considerations into analysis of long-term expected returns and risk levels across asset classes
- Incorporating ESG when advising on target percentages for allocation in asset classes
- Aligning the strategic asset allocation with the client's ESG investment policy, ESG investment objectives and ESG risk profile
- Other, specify(1)
- Other, specify(2)
- Other, specify(3)

**IC 09**

**Mandatory**

**Public**

**IC 09.1** Describe how you measure, track or otherwise demonstrate your value on asset allocation activities.

door middel van haalbaarheid vermogensdoel(en) te laten zien

## Investment research

**IC 10**

**Mandatory**

**Public**

**IC 10.1** Indicate whether you incorporate ESG into your investment research services.

- Yes, we systematically do
- Yes, we occasionally do
- No, we do not. Plans exist to start incorporating ESG into our investment research services in the next reporting year
- No, we do not

**IC 10.3** Describe why you do not currently incorporate ESG into your investment research services, and possible plans for doing so in the future.

we hebben nog geen duidelijke format om dit toe te passen.

**IC 11**

**Mandatory**

**Public**

**Descriptive**

**IC 11.1** Describe how you measure, track or otherwise demonstrate your value on investment research activities.

in hoeverre deze activiteiten bijdragen aan de haalbaarheid van de vermogensdoelstelling(en) van de klanten uitgedrukt in alpha ten opzichte van benchmarks.

## Manager selection and monitoring

IC 12

Mandatory

Public

Gateway

IC 12.1

Indicate whether you incorporate ESG factors into your manager selection and monitoring services.

### Manager selection service

- Yes, we systematically do
- Yes, we occasionally do
- No, we do not. Plans exist to start incorporating ESG into our manager selection services in the next reporting year
- No, we do not

### Manager monitoring service

- Yes, we systematically do
- Yes, we occasionally do
- No, we do not. Plans exist to start incorporating ESG into our manager monitoring services in the next reporting year
- No, we do not

## SELECTION

IC 13

Mandatory

Public

IC 13.1

Indicate what activities you undertake during the process of selecting a manager.

### Research and screening

- Conducting ESG due diligence
- Developing guidelines and/or RI priorities for the selection process
- Conducting internal database screening that includes ESG factors
- Conducting external database screening that includes ESG factors
- Qualitative research that includes ESG factors
- Conducting ESG risk analysis
- Other (1), specify
- None of the above

### Strategy

- Assessing the time horizon of the manager's offering vs. your client's requirements
- Assessing the quality of the manager's investment policy and its reference to ESG
- Assessing the investment approach of the manager and how ESG factors are incorporated
- Reviewing active ownership capabilities and track record of potential shortlisted managers
- Other, specify (1)
- None of the above

### ESG people/oversight

- Assessing the ESG expertise of investment teams
- Reviewing the oversight and responsibilities of ESG implementation
- Other, specify (1)
- None of the above

### Process/portfolio construction

- Reviewing how ESG materiality is evaluated by managers
- Reviewing the manager's use of ESG information in the investment decision-making process
- Review the impact of ESG analysis on investment decisions by the manager
- Review the manager's ESG risk framework
- Other, specify (1)
- None of the above

### Selection process and reviewing documentation

- Reviewing ESG/RI responses to request for proposals
- Reviewing PRI Transparency Reports of the potential manager
- Request and discuss PRI Assessment Reports of the potential manager
- Reviewing potential manager's assurance process on ESG/RI data and processes
- Meetings/calls with potential shortlisted investment managers covering ESG/RI themes
- Site visits to potential managers' offices
- Other, specify (1)
- None of the above

### IC 13.2

Indicate whether you use any of the following scores or targets in your manager selection process.

- ESG score
- ESG weight
- Real economy targets
- Other RI considerations
- None of the above

IC 14	Mandatory	Public	Descriptive
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**IC 14.1** Describe how you incorporate asset owners' investment principles into the manager selection process.

door bepaalde sectoren uit te sluiten of te beperken waarvan asset owners hebben aangegeven hierin niet te willen beleggen

IC 15	Mandatory	Public	Descriptive
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**IC 15.1** Briefly describe how you include ESG factors in your due diligence process for manager selection.

weergave beleid ESG/RI. weergave door portfolio managers wat hun benadering is van baseline screens: screens, defined categories, data provider, measure en rationale.

IC 16	Mandatory	Public	Descriptive
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**IC 16.1** Indicate whether you set any of the following to measure compliance/progress, or use the following information to review and evaluate the investment manager.

Setting measures for the investment manager as part of the monitoring process

- ESG score
- ESG weight
- Real economy targets
- Other RI considerations
- None of the above

Reviewing and evaluating information from the investment manager as part of the monitoring process

- ESG objectives linked to investment strategy
- Investment restrictions and any controversial investment decisions
- ESG incorporation objectives
- ESG portfolio characteristics
- How ESG materiality has been evaluated by the manager in the monitored period
- Information on any ESG incidents
- Metrics on the real economy influence of the investments
- PRI Transparency Reports
- PRI Assessment Reports
- Other general RI considerations in investment management agreements, specify
- None of the above

**IC 16.2** Describe how you raise and manage concerns when monitoring investment managers on ESG factors.

in meetings en calls wordt de progressie m.b.t. ESG factoren besproken.



IC 17	Mandatory	Public	Descriptive
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**IC 17.1** Indicate whether you report back to asset owners on your manager selection and/or monitoring activities.

- Yes, we systematically do
- Yes, we occasionally do
- No, we do not

**IC 17.3** If no, please explain why not.

nog geen beleid m.b.t. rapportage opgesteld, nog geen rapportage format

IC 18	Mandatory	Public	Descriptive
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**IC 18.1** Describe how you measure, track or otherwise demonstrate your value on manager selection and monitoring services.

status managers in beleggingscategorie ten opzichte van peer groep