

Terra Alpha Investments LLC - Proxy Guidelines

Terra Alpha Investment's proxy voting is guided by our mission, vision, advocacy goals, and investment process. We expect companies to understand their natural resource uses and impacts, and to integrate the implications of our planet's changing climate and natural resource limits. We expect companies to operate in an ethical manner that supports all stakeholders. We do not have a prescribed quantitative measures for employee or Board composition, but believe diversity makes for better decisions.

We vote on resolutions looking for overall good governance and operational practices (including supply chains and a healthy workforce), and we are generally in support of efforts for greater transparency and disclosure.

How we vote:

Overall environment: We will generally vote in favor of resolutions that request disclosure of material environmental data and information.

Climate Change: We will generally vote in favor of a resolution asking for information from an evaluation of risk from Climate Change.

Water: We will generally vote in favor of proposals that request water auditing or risk assessments, that companies report water use and risks, or to disclose programs to mitigate the risks.

Materials/Waste: We will generally vote in favor of resolutions that request disclosure of efforts to evaluate risk and to raise efficiency of materials use.

Other natural resources: We will generally vote in favor of resolutions that request disclosure of forest impacts, soil evaluation, or data on other natural resources.

Governance, Commitment and Transparency: We will generally vote in favor of resolutions that ask the board to be engaged in considering environmental impacts as strategic areas. We will likely support implementing a reporting line for the Sustainability person into the C-Suite. In the spirit of transparency, we would likely vote in favor of transparency for political contributions and lobbying efforts.

Social: We would likely support resolutions that encourage greater gender and racially diverse at the board and senior management levels. We would likely support resolutions around adoption and disclosure of policies for workforce safety and well-being.