



LEA 02	Discipline: Mandatory	Principle: PRI 1, 2, 3
<p><b>Type of engagement</b></p> <p>Individual/ internal staff engagements</p> <p>Collaborative engagements</p> <p>Service provider engagements</p>	<p><b>Reason for interaction</b></p> <p><input checked="" type="checkbox"/> To support investment decisionmaking in &amp; company's material ESG issues</p> <p><input checked="" type="checkbox"/> To enhance corporate practice or identify the need to enhance corporate practice</p> <p><input type="checkbox"/> Other: specify</p> <p><input type="checkbox"/> We do not engage via internal staff</p> <p><input type="checkbox"/> To support investment decisionmaking in &amp; company's material ESG issues</p> <p><input type="checkbox"/> To enhance corporate practice or identify the need to enhance corporate practice</p> <p><input type="checkbox"/> We do not engage via collaborative engagements</p> <p><input type="checkbox"/> To support investment decisionmaking in &amp; company's material ESG issues</p> <p><input type="checkbox"/> To enhance corporate practice or identify the need to enhance corporate practice</p> <p><input type="checkbox"/> We do not engage via service providers</p> <p><input type="checkbox"/> To support investment decisionmaking in &amp; company's material ESG issues</p> <p><input type="checkbox"/> To enhance corporate practice or identify the need to enhance corporate practice</p>	

# RI TRANSPARENCY REPORT

## 2018

### Symbiotics SA

## About this report

The PRI Reporting Framework is a key step in the journey towards building a common language and industry standard for reporting responsible investment (RI) activities. This RI Transparency Report is one of the key outputs of this Framework. Its primary objective is to enable signatory transparency on RI activities and facilitate dialogue between investors and their clients, beneficiaries and other stakeholders. A copy of this report will be publicly disclosed for all reporting signatories on the [PRI website](#), ensuring accountability of the PRI Initiative and its signatories.

This report is an export of the individual Signatory organisation's response to the PRI during the 2018 reporting cycle. It includes their responses to mandatory indicators, as well as responses to voluntary indicators the signatory has agreed to make public. The information is presented exactly as it was reported. Where an indicator offers a response option that is multiple-choice, all options that were available to the signatory to select are presented in this report. Presenting the information exactly as reported is a result of signatory feedback which suggested the PRI not summarise the information. As a result, the reports can be extensive. However, to help easily locate information, there is a **Principles index** which highlights where the information can be found and summarises the indicators that signatories complete and disclose.

## Understanding the Principles Index

The Principles Index summarises the response status for the individual indicators and modules and shows how these relate to the six [Principles for Responsible Investment](#). It can be used by stakeholders as an 'at-a-glance' summary of reported information and to identify particular themes or areas of interest.

Indicators can refer to one or more Principles. Some indicators are not specific to any Principle. These are highlighted in the 'General' column. When multiple Principles are covered across numerous indicators, in order to avoid repetition, only the main Principle covered is highlighted.

All indicators within a module are presented below. The status of indicators is shown with the following symbols:

Symbol	Status
✓	The signatory has completed all mandatory parts of this indicator
☑	The signatory has completed some parts of this indicator
🔒	This indicator was not relevant for this signatory
-	The signatory did not complete any part of this indicator
Ⓜ	The signatory has flagged this indicator for internal review

Within the table, indicators marked in blue are mandatory to complete. Indicators marked in grey are voluntary to complete.

# Principles Index

Organisational Overview				Principle						General
Indicator	Short description	Status	Disclosure	1	2	3	4	5	6	
OO TG		-	n/a							
OO 01	Signatory category and services	✓	Public							✓
OO 02	Headquarters and operational countries	✓	Public							✓
OO 03	Subsidiaries that are separate PRI signatories	✓	Public							✓
OO 04	Reporting year and AUM	✓	Public							✓
OO 05	Breakdown of AUM by asset class	✓	Asset mix disclosed in OO 06							✓
OO 06	How would you like to disclose your asset class mix	✓	Public							✓
OO 07	Fixed income AUM breakdown	🔒	n/a							✓
OO 08	Segregated mandates or pooled funds	🔒	n/a							✓
OO 09	Breakdown of AUM by market	✓	Private							✓
OO 10	Active ownership practices for listed assets	🔒	n/a							✓
OO 11	ESG incorporation practices for all assets	✓	Public							✓
OO 12	Modules and sections required to complete	✓	Public							✓
OO LE 01	Breakdown by passive, quantitative, fundamental and other active strategies	🔒	n/a							✓
OO LE 02	Reporting on strategies that are <10% of actively managed listed equities	🔒	n/a							✓
OO FI 01	Breakdown by passive, active strategies	🔒	n/a							✓
OO FI 02	Option to report on <10% assets	🔒	n/a							✓
OO FI 03	Breakdown by market and credit quality	🔒	n/a							✓
OO SAM 01	Breakdown by passive, quantitative, fundamental and other active strategies	🔒	n/a							✓
OO PE 01	Breakdown of investments by strategy	🔒	n/a							✓
OO PE 02	Typical level of ownership	🔒	n/a							✓
OO PR 01	Breakdown of investments	🔒	n/a							✓
OO PR 02	Breakdown of assets by management	🔒	n/a							✓
OO PR 03	Largest property types	🔒	n/a							✓
OO INF 01	Breakdown of investments	🔒	n/a							✓
OO INF 02	Breakdown of assets by management	🔒	n/a							✓
OO INF 03	Largest infrastructure	🔒	n/a							✓
OO End	Module confirmation page	✓	-							

Direct - Inclusive Finance				Principle							General
Indicator	Short description	Status	Disclosure	1	2	3	4	5	6	7	
IFD 01	Mission and investment objective	✓	Public								✓
IFD 02	Governance, management structures and processes	✓	Public								✓
IFD 03	Social targets	✓	Private								✓
IFD 04	Percentage in equity, debt and guarantees	✓	Private								✓
IFD 05	Percentage in microfinance and/or SME finance	✓	Private								✓
IFD 06	Geographical spread of microfinance investments	✓	Private								✓
IFD 07	Tracking microfinance investees portfolio	✓	Public	✓							
IFD 08	Support financial services beyond credit, compulsory savings and/or compulsory insurance	✓	Public	✓							
IFD 09	Support the introduction of services tailored to the 'poor', 'very poor' and 'low income'	✓	Private	✓							
IFD 10	Technical assistance to investees	✓	Public	✓							
IFD 11	Public endorsement of the Client Protection Principles	✓	Public		✓						
IFD 12	Provide training and assistance for investees implementing the Client Protection Principles	✓	Private		✓						
IFD 13	Include the Client Protection Principles in investment policies	✓	Public		✓						
IFD 14	Investment in local currency	✓	Public			✓					
IFD 15	Average maturity of debt investments	✓	Public			✓					
IFD 16	Average holding period of equity investments	🔒	n/a			✓					
IFD 17	Terms and conditions	✓	Public			✓					
IFD 18	Tools for social performance reporting	✓	Public				✓				
IFD 19	Retail institutions have independent financial/social rating and/or social audit	✓	Public				✓				
IFD 20	Due diligence on and monitoring and reporting of corporate governance among investees	✓	Public				✓				
IFD 21	Training or assistance for investees on corporate governance	✓	Private				✓				
IFD 22	Percentage of investees where board seats are held	🔒	n/a				✓				
IFD 23	Procedure to integrate environmental issues in investment decision processes	✓	Public				✓				
IFD 24	Anti-corruption and whistle-blowing policies	✓	Private				✓				
IFD 25	How mission and investment objectives are communicated to stakeholders	✓	Private					✓			
IFD 26	Investors and/or the public provided with information aligned with industry standards	✓	Public					✓			
IFD 27	Transparency of pricing, terms and conditions among investees	✓	Public					✓			
IFD 28	How social performance of investees affects decision making and portfolio	✓	Public						✓		

	management																			
IFD 29	Staff incentives linked to social performance measures	✓	Private																	✓
IFD 30	Collecting data regarding social outcomes of investees work	✓	Private																	✓
IFD 31	Incentivise investees to track social performance	✓	Private																	✓
IFD 32	Collaborative initiatives your organisation has supported or participated in	✓	Public																	✓
IFD 33	Encouraging investees to participate in collaborative initiatives	✓	Private																	✓
IFD 34	Encouraging your investees to participate in initiatives which contribute to the development of industry benchmarks	✓	Private																	✓
IFD End	Module confirmation page	✓	-																	

Assurance				Principle						General	
Indicator	Short description	Status	Disclosure	1	2	3	4	5	6		
CM1 01.1	Assurance, verification, or review	✓	Public								✓
CM1 01.2 & 01.8	Assurance of this year's PRI data	✓	Public								
CM1 01.3 & 01.9	Assurance of last year's PRI data	✓	Public								✓
CM1 01.4, 10-12	Other confidence building measures	✓	Public								✓
CM1 01.5	External assurance	🔒	n/a								✓
CM1 01.6	Assurance or internal audit	🔒	n/a								✓
CM1 01.7	Internal verification	🔒	n/a								✓
CM1 01 End	Module confirmation page	✓	-								

# Symbiotics SA

## Reported Information

### Public version

### Organisational Overview

## PRI disclaimer

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## Basic information

OO 01

Mandatory

Public

Gateway/Peering

General

OO 01.1 Select the services and funds you offer

Fund management

% of assets under management (AUM) in ranges

- <10%
- 10-50%
- >50%

Fund of funds, manager of managers, sub-advised products

% of assets under management (AUM) in ranges

- <10%
- 10-50%
- >50%

Other, specify

Further options for investment managers (may be selected in addition to the above)

- Execution and advisory services
- Hedge funds
- Fund of hedge funds

OO 02

Mandatory

Public

Peering

General

OO 02.1 Select the location of your organisation's headquarters.

Switzerland

OO 02.2 Indicate the number of countries in which you have offices (including your headquarters).

- 1
- 2-5
- 6-10
- >10

OO 02.3 Indicate the approximate number of staff in your organisation in full-time equivalents (FTE).

FTE

130

**OO 03** Mandatory Public Descriptive General

**OO 03.1** Indicate whether you have subsidiaries within your organisation that are also PRI signatories in their own right.

- Yes
- No

**OO 04** Mandatory Public Gateway/Peering General

**OO 04.1** Indicate the year end date for your reporting year.

31/12/2017

**OO 04.2** Indicate your total AUM at the end of your reporting year, Exclude subsidiaries you have chosen not to report on and any advisory/execution only assets.

	trillions	billions	millions	thousands	hundreds
Total AUM		1	900	000	000
Currency	USD				
Assets in USD		1	900	000	000

**OO 06** Mandatory Public Descriptive General

**New selection options have been added to this indicator. Please review your prefilled responses carefully.**

**OO 06.1** Select how you would like to disclose your asset class mix.

- as percentage breakdown
- as broad ranges

	Internally managed (%)	Externally managed (%)
Listed equity	0	0
Fixed income	0	0
Private equity	0	0
Property	0	0



Infrastructure	0	0
Commodities	0	0
Hedge funds	0	0
Forestry	0	0
Farmland	0	0
Inclusive finance	>50%	0
Cash	0	0
Other (1), specify	0	0
Other (2), specify	0	0

OO 06.2

Publish asset class mix as per attached image [Optional].

### Asset class implementation gateway indicators

OO 11	Mandatory	Public	Gateway	General
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Inclusive finance

- We address ESG incorporation.
- We do not do ESG incorporation.

OO 12	Mandatory	Public	Gateway	General
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OO 12.1

Below are all applicable modules or sections you may report on. Those which are mandatory to report (asset classes representing 10% or more of your AUM) are already ticked and read-only. Those which are voluntary to report on can be opted into by ticking the box.

Core modules

- Organisational Overview
- Strategy and Governance

RI implementation directly or via service providers

Direct - Other asset classes with dedicated modules

Inclusive Finance

RI implementation via external managers

Closing module

Closing module

# Symbiotics SA

## Reported Information

### Public version

#### Direct - Inclusive Finance

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## Context

IFD 01	Voluntary	Public	Descriptive	PIIF General
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**IFD 01.1** Indicate if you have a distinct mission and investment objective for your investment in inclusive finance.

Yes

**IFD 01.2** Describe your inclusive finance mission and investment objective.

Our mission is to contribute to the democratization of access to capital and to an economic development which is more inclusive, socially responsible and sustainable. The company reaches this goal by helping investors reach down into micro-, small and medium enterprises markets in low income economies, through innovative investment services.

No

IFD 02	Voluntary	Public	Descriptive	PIIF General
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**IFD 02.1** Provide a brief description of the governance and management structures and processes you have in place for your responsible investment activities and implementation of the PIIF.

Symbiotics SA, the mother entity, is owned by over 65 shareholders, mostly private individuals, all motivated by the mission and vision of the company. This relatively wide equity base is consistent with the founders' objective of avoiding concentration in the shareholding structure. It ensures independence of the company, diffusing responsibilities, strengthening the governance structure of the firm as it grows and institutionalizes. Most importantly, it creates positive synergies between a motivated group of diverse investors and professionals around a common mission. The company also follows strict good corporate governance principles, including the separation of roles between the board and management, and offers all staff the possibility to participate in the equity.

I would like to attach an organisation chart (the following image formats can be uploaded: .jpg, .png, .bmp and .gif)

## PIIF Principle 1: Range of services

IFD 07	Mandatory	Public	Core Assessed	PIIF 1
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### **Possible action:**

*Develop and extend the range of financial services available to low-income populations. Extensions could include savings, loans, insurance, payment services, remittance facilities and pension plans.*

**IFD 07.1** Indicate if you track the percentage of your microfinance investees' portfolio that is in microenterprise loans.

Yes, we track

If you aggregate this information at an organisational level, indicate the percentage of your portfolio in microenterprise loans. [Optional]

- <20%
  - 20-40%
  - 41-60%
  - 61-80%
  - >80%
- No, we do not track
- Not applicable (there are no microenterprise loans in the portfolio)

**IFD 07.2**

Indicate if you track the percentage of your microfinance investees' portfolio that is in loans for immediate household needs (i.e. consumer loans).

- Yes, we track

If you aggregate this information at an organisational level, indicate the percentage of your portfolio in loans for immediate household needs. [Optional]:

- <20%
  - 20-40%
  - 41-60%
  - 61-80%
  - >80%
- No, we do not track
- Not applicable (there are no loans for immediate household needs in the portfolio)

**IFD 07.3**

Indicate if you track the percentage of your investees' portfolio in housing loans.

- Yes, we track

If you aggregate this information at an organisational level, indicate the percentage of your portfolio in loans for other categories. [Optional]

- <20%
  - 20-40%
  - 41-60%
  - 61-80%
  - >80%
- No, we do not track
- Not applicable (there are no loans for housing in the portfolio)

**IFD 08**

**Mandatory**

**Public**

**Core Assessed**

**PIIF 1**

**IFD 08.1**

In your microfinance portfolio, indicate if you support the provision of financial services beyond credit, compulsory savings and/or compulsory insurance.

- Yes

**IFD 08.2**

Indicate which of the following services you support:

- Voluntary savings products

Provide examples of how and what you support.

We offer technical assistance for product development

Indicate if you gather data regarding the percentage of financial service providers in your portfolio that are offering such a service.

 Yes No

- Voluntary insurance products

- Other financial services, specify

Leasing and factoring

Provide examples of how and what you support.

We provide loans as well as offer technical assistance to leasing and factoring companies serving SMEs

Indicate if you gather data regarding the percentage of financial service providers in your portfolio that are offering such a service.

 Yes No

- Non-financial services, specify

 No**IFD 10****Voluntary****Public****Descriptive****PIIF 1****Possible action:***Supporting retail providers.***IFD 10.1**

Indicate if you provide technical assistance to your investees.

 Yes No**IFD 10.2**

Additional information.

**TA MANAGEMENT SERVICES FOR THE IMPACT INVESTMENT INDUSTRY**

Since 2011 and starting with the launch of the TA Facility of the Regional MSME Investment Fund for Sub-Saharan Africa Fund (REGMIFA), Symbiotics has been developing expertise in technical assistance. An experienced team based in Symbiotics headquarters and Cape Town office is dedicated to technical assistance offering specialized, customized and fully-fledged TA management services.

TA goes hand-in-hand with Symbiotics' investment activities, aiming to maximize the impact of the investments and to strengthen the impact and institutional capacity of our financial and non-financial partner companies in areas including governance enhancement, capacity building, social impact measurement and financial education and management.

## PIIF Principle 2: Client protection

IFD 11	Mandatory	Public	Core Assessed	PIIF 2
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### **Possible action:**

*Publicly endorse the Client Protection Principles (CPP).*

IFD 11.1	Indicate if you have publicly endorsed the Client Protection Principles.
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- Yes
- No

IFD 13	Mandatory	Public	Core Assessed	PIIF 2
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IFD 13.1	Indicate if you include the Client Protection Principles and/or other client protection measures in your investment policies.
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- Yes

IFD 13.2	Indicate if this is systematically applied.
----------	---

	During due diligence
--	----------------------

- Yes
- No

	In covenants in loan agreements and/or in financing or shareholder agreements
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- Yes
- No

IFD 13.3	Indicate if you encourage investees to apply for Client Protection Certification. [Optional]
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- Yes
- No
- No

## PIIF Principle 3: Fair treatment

IFD 14	Mandatory	Public	Core Assessed	PIIF 3
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**Possible action:**

*Provide financing in an appropriate currency and tenor.*

IFD 14.1	In relation to your direct portfolio in debt, indicate if you provide investment in local currency.
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Yes

IFD 14.2	Indicate what percentage of your direct portfolio in debt is invested in the investee's local currency.
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- <20%
- 20-40%
- 41-60%
- 61-80%
- >80%

No

IFD 15	Mandatory	Public	Descriptive	PIIF 3
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**Possible action:**

*Provide financing in an appropriate currency and tenor.*

*Actively support the building of a diversified funding base.*

IFD 15.1	In relation to your direct portfolio in debt, indicate what percentage have a maturity (from the point of investment) of the specified duration:
----------	--

- 12 months or fewer
- 13 to 24 months
- 25 to 60 months

	Indicate percentage
--	---------------------

- <20
- 20-40%
- 41-60%
- 61-80%
- >80%

Over 60 months

IFD 15.2	Indicate if you have a set limit regarding the maximum fixed income investment exposure of the investees in which you invest. [Optional]
----------	--

- Yes
- No



**Possible action:**

*Negotiate terms and conditions that are transparent, fair and reasonable, including fair break-up clauses.*

<b>IFD 17.1</b>	Indicate if you adopt the following practices:
-----------------	--

For fixed income investments: Use the Lenders' Guidelines for Setting Covenants in Support of Responsible Microfinance as an example or reference document.

	Indicate if these practices are formalised in written policies and procedures.
--	--

- Yes
- No

Ensure that the investee understands the terms, covenants and their implications prior to signing loan / shareholder documentation.

	Describe your process.
--	------------------------

Regular communication with investees prior to signing of documentation.

	Indicate if these practices are formalised in written policies and procedures.
--	--

- Yes
- No

Foster trust and proactive dialogue with investees through straightforward discussions on issues such as a covenant breach.

	Describe your process.
--	------------------------

We employ a case by case approach, do not have a formalized process.

	Indicate if these practices are formalised in written policies and procedures.
--	--

- Yes
- No

In the case of material covenant breach, create/participate in a voluntary workout group where applicable, with the goal to help the investee remain a going concern whenever possible.

	Indicate if these practices are formalised in written policies and procedures.
--	--

- Yes
- No

For fixed income investments: Train your staff on effective monitoring and covenant waiver negotiations to manage deteriorating conditions, and transition a non-performing loan from loan origination staff to specialised workout staff.

Indicate if these practices are formalised in written policies and procedures.

- Yes
- No
- Encourage investee skills-building on financial projections, scenario planning and stress-testing for management, and on financial expertise and governance for Directors.
- Other (explain)
- None of the above

## PIIF Principle 4: Responsible investment

IFD 18

Mandatory

Public

Gateway

PIIF 4

### **Possible action:**

*Negotiate terms and conditions that are transparent, fair and reasonable, including fair break-up clauses.*

IFD 18.1

Indicate if you use the following tools for social performance reporting:

### **Externally developed tools**

During due diligence.

- Yes
- No
- We don't track social performance

For monitoring and reporting purposes.

- Yes
- No
- We don't track social performance

### **In-house tools based on externally developed tools**

During due diligence.

- Yes
- No
- We don't track social performance

For monitoring and reporting purposes.

- Yes
- No
- We don't track social performance

#### Tools developed solely in-house

During due diligence.

- Yes
- No
- We don't track social performance

For monitoring and reporting purposes.

- Yes
- No
- We don't track social performance

IFD 19

Mandatory

Public

Descriptive

PIIF 4

IFD 19.1

Indicate if you require the retail institutions in which you invest to have an independent financial rating.

- Always
- In a majority of cases
- In a minority of cases
- No

IFD 19.2

Indicate if you require the retail institutions in which you invest to have an independent social rating.

- Always
- In a majority of cases
- In a minority of cases
- No

IFD 19.3

Indicate if you require the retail institutions in which you invest to have an independent social audit.

- Yes
- No

**Possible action:**

Assist in developing appropriate references for corporate governance issues.

IFD 20.1	In relation to your due diligence on and monitoring and reporting of corporate governance among investees, indicate if you assess:
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**Compensation of the Board of Directors and Executive Directors (i.e. its transparency, the use of benchmarking)**

	Pre-investment
--	----------------

- Yes
- No
- Not for all of our investments in inclusive finance

	Post-investment
--	-----------------

- Yes
- No
- Not for all of our investments in inclusive finance

**Composition of the Board (i.e. breadth and depth of experience, effective client representation, diversity)**

	Pre-investment
--	----------------

- Yes
- No
- Not for all of our investments in inclusive finance

	Post-investment
--	-----------------

- Yes
- No
- Not for all of our investments in inclusive finance

**Whether the Board receives social performance management-related information from the management team that is analysed and contributes to Board decision making.**

Pre-investment

- Yes
- No
- Not for all of our investments in inclusive finance

Post-investment

- Yes
- No
- Not for all of our investments in inclusive finance

IFD 23	Mandatory	Public	Descriptive	PIIF 4
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**Possible action:**

*Assist in developing appropriate references for environmental issues.*

**IFD 23.1** Indicate if you have a procedure to integrate the consideration of environmental issues in your investment decision processes.

- Yes
  - For all inclusive finance investments
  - For a majority of inclusive finance investments
  - For a minority of inclusive finance investments

**IFD 23.2** Describe how your procedure to integrate the consideration of environmental issues in your investment decisions processes affect decisions pre-investment. [Optional]

We consider environmental aspects in our standard social responsibility rating process, which is applied to all investees.

**IFD 23.3** Describe how your procedure to integrate the consideration of environmental issues in your investment decisions processes affect decisions post- investment. [Optional]

Environmental issues affect the social responsibility rating of our investees and a deterioration may result in non-renewal of our credit facility.

- No

**IFD 23.4** Indicate if you request your investees to comply with an environmental exclusion list.

- Yes, for all investees
- Yes, for a majority of investees
- Yes, for a minority of investees
- No

**PIIF Principle 5: Transparency**

IFD 26	Mandatory	Public	Core Assessed	PIIF 5
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**Possible action:**

Fully disclose policies, criteria and related conditions of products and services to investees and other relevant stakeholders.

IFD 26.1	Other than the PRI/PIIF, indicate if you provide your investors and/or the public with information aligned with industry standards.
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Yes

IFD 26.2	Do you provide information aligned with:
----------	--

- The MIV Disclosure Guidelines
  - Yes, to our investors only
  - Yes, to the public
- The Impact Reporting & Investment Standards (IRIS)
  - Yes, to our investors only
  - Yes, to the public
- Other, specify

No

IFD 27	Mandatory	Public	Core Assessed	PIIF 5
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**Possible action:**

Ensure that investees adequately disclose the pricing, terms and conditions of financial products and services offered, and that the pricing, terms and conditions are understood by clients.

IFD 27.1	Indicate if you encourage the retail institutions in which you invest to ensure that the following are transparent and fully explained to their clients (i.e. those seeking financial services from them) in a form they can understand.
----------	--

	Pricing
--	---------

Yes

No

	Other terms and conditions
--	----------------------------

Yes

No

**PIIF Principle 6: Balanced returns**

IFD 28	Mandatory	Public	Core Assessed	PIIF 6
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**Possible action:**

*Strive for a balanced long-term social and financial risk-adjusted return that recognises the interests of clients, retail providers and investors.*

**IFD 28.1** Indicate if the social performance of investees affects your:

Investment decision making

Yes

**IFD 28.2** Explain how social performance of investees affects investment decision making.

Besides our financial and qualitative assessment and our credit risk report we also produce a social responsibility rating report on each of our investees.

No

Portfolio management

Yes

**IFD 28.3** Explain how social performance of investees affects portfolio management.

A poor social performance might translate into a downgrade of the social responsibility rating and prevent the renewal of a transaction.

No

**PIIF Principle 7: Standards**

<b>IFD 32</b>	<b>Mandatory</b>	<b>Public</b>	<b>Core Assessed</b>	<b>PIIF 7</b>
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**Possible action:**

*Participate in networks to share tools, information and resources.*

**IFD 32.1** Select which of the following collaborative initiatives your organisation has supported or participated in, and the role you played.

The Principles for Investors in Inclusive Finance

Yes

Basic

Moderate

Advanced

No

### The Smart Campaign

- Yes
  - Basic
  - Moderate
  - Advanced
- No

### Social Performance Task Force

- Yes
  - Basic
  - Moderate
  - Advanced
- No

### Financial Inclusion Equity Council (formerly CMEF)

- Yes
- No

### European Microfinance Platform (eMFP)

- Yes
  - Basic
  - Moderate
  - Advanced
- No

### Global Impact Investors Network (GIIN)

- Yes
  - Basic
  - Moderate
  - Advanced
- No

Other network, association memberships and/or specific collaboration related to the promotion of inclusive finance, specify

Sustainable Finance Geneva  
Swiss Sustainable Finance  
AVPN  
EVPN



- Yes
  - Basic
  - Moderate
  - Advanced
- No

**IFD 32.2**

If you have indicated that your organisation was involved in any of the above, please provide a brief commentary. [Optional]

The mission of Sustainable Finance Geneva is to promote sustainability and responsibility within the Geneva financial community. We are targeting professionals who have an interest in responsible investment and want to better structure and focus their action.

Swiss Sustainable Finance ("SSF") was created to promote sustainability in the Swiss financial market, and strengthen Switzerland's position as a leading worldwide centre for sustainable finance. SSF brings together financial and non-financial service providers, investors, universities and business schools, public sector entities and other interested organisations, providing a platform to foster the integration of sustainability principles into all financial services. Through research and different work streams the Association is the first port of call for information on sustainable finance in Switzerland, as well as contributing to capacity building and working towards sound frameworks for sustainable finance.

# Symbiotics SA

## Reported Information

### Public version

### Assurance

## PRI disclaimer

This document presents information reported directly by signatories. This information has not been audited by the PRI Secretariat or any other party acting on their behalf. While this information is believed to be reliable, no representations or warranties are made as to the accuracy of the information presented, and no responsibility or liability can be accepted for any error or omission.

## Assurance

CM1 01.1	Mandatory	Public	Core Assessed	General
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**New selection options have been added to this indicator. Please review your prefilled responses carefully.**

CM1 01.1	Indicate whether the reported information you have provided for your PRI Transparency Report this year has undergone:
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- Third party assurance over selected responses from this year's PRI Transparency Report
- Third party assurance over data points from other sources that have subsequently been used in your PRI responses this year
- Third party assurance or audit of the implementation of RI processes (that have been reported to the PRI this year)
- Internal audit conducted by internal auditors of the implementation of RI processes and/or RI data that have been reported to the PRI this year)
- Internal verification of responses before submission to the PRI (e.g. by the CEO or the board)
- Other, specify
- None of the above

CM1 01.2 & 01.8	Mandatory	Public	Descriptive	
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CM1 01.2	Do you plan to conduct third party assurance of this year's PRI Transparency report?
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- Whole PRI Transparency Report will be assured
- Selected data will be assured
- We do not plan to assure this year's PRI Transparency report

CM1 01.3 & 01.9	Mandatory	Public	Descriptive	General
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CM1 01.3	We undertook third party assurance on last year's PRI Transparency Report
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- Whole PRI Transparency Report was assured last year
- Selected data was assured in last year's PRI Transparency Report
- We did not assure last year's PRI Transparency report, or we did not have such a report last year.

CM1 01.4, 10-12	Mandatory	Public	Descriptive	General
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**CM1 01.4**

We undertake confidence building measures that are unspecific to the data contained in our PRI Transparency Report:

- We adhere to an RI certification or labelling scheme
- We carry out independent/third party assurance over a whole public report (such as a sustainability report) extracts of which are included in this year's PRI Transparency Report
- ESG audit of holdings
- Other, specify
- None of the above