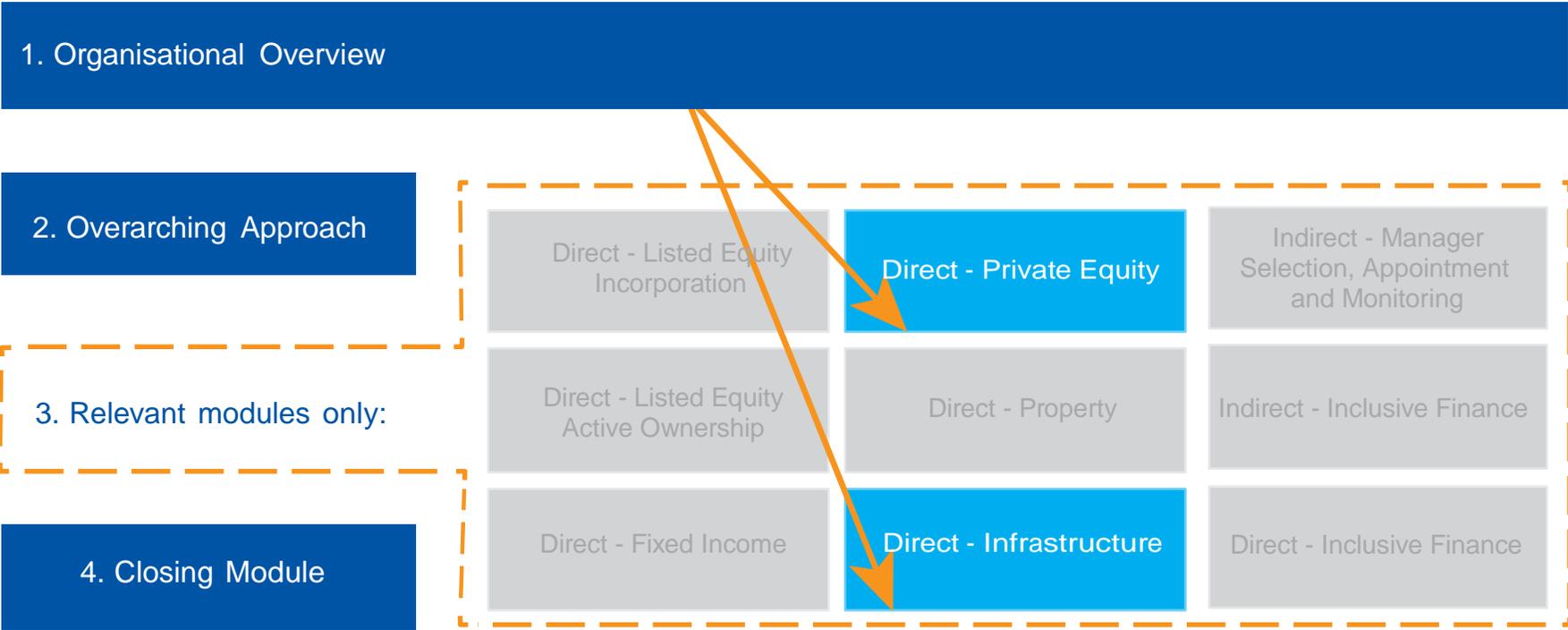


MODULES AND INDICATORS

The Reporting Framework consists of 12 different modules, of which a selection will be relevant for individual signatories. All signatories will be required to complete the Organisational Overview and the Closing Module, and most will also complete Overarching Approach. Additional modules will depend on your organisation's assets and approach. Within each module, there are a number of indicators which you must complete and other, voluntary indicators.

ORGANISATIONAL OVERVIEW DECIDES APPLICABLE MODULES:

The first module you must complete defines which additional modules are relevant for your organisation. For example, if your firm primarily invests directly in private equity and infrastructure, the modules Direct – Private Equity and Direct – Infrastructure will be defined as relevant for you to complete.



OVERVIEW PAGE

This page in the online tool displays an overview of the modules that you must complete and provides you with information regarding indicators within each module.

The screenshot shows the 'Overview' page of an online tool. The main content area is titled 'Overview' and displays a list of modules. The 'OA - Overarching Approach' module is highlighted in blue. Below the module name, there are three dropdown menus for filtering indicators: 'Mandatory/Voluntary', 'Gateway/Assessed/Peering', and 'Complete/Incomplete/Locked'. The main table lists indicators with their IDs, purposes, and completion status. The right sidebar contains a 'Your reporting framework' section showing 'Modules confirmed 1 / 6' and a 'Feedback' section with a 'Send' button.

Indicator ID	Purpose	Status	Description
01	Mandatory	Complete	RI policy and other guidance documents
02	Mandatory	Incomplete	Publicly available policies / documents
03	Mandatory	Incomplete	Policy components and coverage
OA 04	Mandatory	Incomplete	Conflicts of interest
OA 05	Mandatory	Complete	RI goals and objectives

Callout boxes and their descriptions:

- Filter indicators:** Points to the dropdown menus for filtering indicators.
- Mandatory or voluntary:** Points to the 'Mandatory/Voluntary' dropdown menu.
- Purpose of indicator:** Points to the 'Purpose' column in the table.
- Brief description:** Points to the 'Description' column in the table.
- Status of module completion:** Points to the green checkmark icon next to the 'OA - Overarching Approach' module header.
- Status of indicator:** Points to the green checkmark icon next to indicator 01.
- Overall completion of the Framework:** Points to the '1 / 6' progress indicator in the 'Your reporting framework' section.
- Provide feedback:** Points to the 'Feedback' section in the sidebar.

INDICATOR DETAILS

PURPOSE

Indicators are included in the Reporting Framework for a number of reasons. The purpose of an indicator is explained through the use of the following icons:



CORE ASSESSED

These indicators form the core of the assessment, and represent the majority of your final assessment score.



ADDITIONAL ASSESSED

These indicators represent more advanced or alternative practices and contribute to a smaller part of your score.



PEERING

These indicators are used to determine your peer groups for assessment purposes.



DESCRIPTIVE

These are open-ended narrative indicators, allowing you to describe your activities.



GATEWAY

The responses to this indicator 'unlock' other indicators within a module if they are relevant for your organisation. Please refer to the logic box for more information.

STATUS

Your progress towards completing the indicators is highlighted by the following icons:



LOCKED

These indicators are 'locked', a preceding gateway indicator will determine if it is relevant for your organisation. Complete all gateway indicators to make sure all your applicable indicators are unlocked.



NOT YET STARTED

The response to the indicator has not been started.



INDICATOR STARTED

The response to the indicator has been started but not all mandatory elements have been completed yet.



INDICATOR COMPLETE

All mandatory elements of this indicator have been completed and the indicator is marked as final.

COMPLETING AN INDICATOR

In addition to the indicator itself, each indicator page contains:

The screenshot displays the 'PE - Direct - Private Equity' indicator page, titled 'Fundraising of private equity funds'. The page is divided into several sections:

- Indicator Overview:** Shows 'PE 05' as a 'Mandatory' indicator with a 'Public' disclosure type, 'Core Assessed' status (indicated by a star), and 'Principle PRI 1,4,6'.
- Explanatory Notes and Definitions:** A rich text editor with a toolbar (bold, italic, link, unlink, insert image, undo, redo, list, indent) and a 'Words: 1' counter. Below the editor are radio buttons for 'Yes' (selected) and 'No'.
- Indicator Questions:**
 - PE 05.1:** 'Indicate if your fund placement documents (private placement memorandums (PPMs) or similar) refer to responsible investment aspects of your organisation.' (Yes selected)
 - PE 05.2:** 'Indicate how your fund placement documents (PPMs or similar) refer to the following responsible investment aspects of your organisation:' with checkboxes for:
 - Policy and commitment to responsible investment
 - Approach to ESG issues in pre-investment processes
 - Approach to ESG issues in post-investment processes
 - PE 05.3:** 'Describe how your organisation refers to responsible investment in fund placement documents (PPMs or similar). [Optional]'
 - PE 05.4:** 'Additional information. [Optional]'
- Reporting Framework:** 'Your reporting framework' section showing 'Modules confirmed 1 / 6' with a 16% progress bar and a 'Return to the overview' link.
- Indicators Overview:** A 'Collapse' button and a list of indicators with status icons (people, checkmarks, stars).
- Feedback:** A 'Need help?' section with a 'Supporting documentation' link, a 'Feedback' section with a 'Collapse' button, and a form for 'Select Feedback Topic', 'Email', and 'Provide any additional feedback'.

Four callout boxes provide additional information:

- Information on indicator:** Points to the 'PE 05' indicator header.
- Indicator explanatory notes and definitions:** Points to the rich text editor and the 'Yes' radio button.
- For voluntary indicators, drop down to select disclosure:** Points to the 'Public' disclosure dropdown menu.
- Status and purpose of the other indicators within the module:** Points to the 'Indicators' overview section.
- Overarching guidance and main definitions:** Points to the 'Need help?' and 'Feedback' sections.

NAVIGATING BETWEEN INDICATORS

After saving an indicator, you can navigate around the Framework in 3 different ways

The screenshot shows the PRI SIGNATORY interface for editing an indicator. The main content area is titled "OA - Overarching Approach » Governance and human resources". It features a table with columns for "Indicator", "Voluntary", "Disclosure", "Descriptive", and "Principle". The current indicator is "OA 07" with a "Private" disclosure level and a "General" principle. Below the table is a text editor for "OA 07.1" with a word count of 197. At the bottom, there are navigation buttons: "Previous", "Save", and "Next".

Four orange callout boxes provide navigation instructions:

- Save before proceeding**: Points to the "Save" button.
- Move to other modules**: Points to the "Overview" link in the top navigation bar.
- Move to other indicators**: Points to the "Responsible investment policy" section in the right-hand sidebar.
- Move to the next indicator**: Points to the "Next" button.

PREFILLING

If you reported during the 2014/15 reporting cycle, you will find your responses prefilled for most of the indicators. These will be highlighted clearly as shown below. You can switch off prefilling by going to the preferences tab.

The screenshot displays the 'PRI SIGNATORY' interface for the 'PRI Online Reporting Tool'. The main heading is 'OO - Organisational Overview » Basic Information'. Below this, there are tabs for 'Indicator' and 'Explanatory notes'. A navigation bar includes 'Mandatory to Report', 'Voluntary to Disclose', 'Disclosure' (set to 'Private'), 'Peering', and 'Principle' (set to 'General'). A note states: 'Note: Responses highlighted have been prefilled from previous year'. The main content area shows indicator 'OO 08.1' with the instruction: 'Indicate the breakdown of your organisation's AUM by market.' Below this is a table with two sections: 'Developed Markets' and 'Emerging, Frontier and Other Markets'. Each section has radio buttons for '0%', '<10%', '10-50%', and '>50%'. The '10-50%' option is selected and highlighted in both sections. A sidebar on the right shows 'Your reporting framework' with '0 / 1' modules confirmed, and a list of indicators with status icons. An orange box on the left points to the note, and another orange box on the right points to the highlighted '10-50%' radio button.

Market breakdown	% of AUM
Developed Markets	<input type="radio"/> 0%
	<input type="radio"/> <10%
	<input checked="" type="radio"/> 10-50%
	<input type="radio"/> >50%
Emerging, Frontier and Other Markets	<input type="radio"/> 0%
	<input type="radio"/> <10%
	<input checked="" type="radio"/> 10-50%
	<input type="radio"/> >50%

Prefilling note

Highlighted pre-filled response