



RI TRANSPARENCY REPORT

2020

RepRisk AG

About this report

This report is an export of the individual Signatory organisation responses to the PRI Service Provider Reporting Framework during the 2020 reporting period. It shows your responses to mandatory indicators, as well as responses to voluntary indicators that your organisation has agreed to make public. You will be able to access the public RI Transparency report of your organisation and that of other signatories on the [PRI website](#).

The information is presented exactly as it was reported. Where an indicator offers a response option that is multiple-choice, all options that were available to the signatory to select are presented in this report. Presenting the information exactly as reported is a result of signatory feedback which suggested the PRI not summarise the information. As a result, the reports can be extensive. However, to help easily locate information, there is an **Index** which highlights where the information can be found and summarises the indicators that signatories complete and disclose.

Understanding the Index

The Index summarises the response status for the individual indicators and modules. It can be used by stakeholders as an 'at a glance' summary of reported information and to identify particular themes or areas of interest.

All indicators within a module are presented below. The status of indicators is shown with the following symbols:

Symbol	Status
✓	The signatory has completed all mandatory parts of this indicator
☑	The signatory has completed some parts of this indicator
🔒	This indicator was not relevant for this signatory
-	The signatory did not complete any part of this indicator.
🔍	The signatory has flagged this indicator for internal review

Within the table, indicators marked in blue are mandatory to complete. Indicators marked in grey are voluntary to complete.

Index

Organisational Overview			
Indicator	Short description	Status	Disclosure
OO 01	Basic information	✓	Public
OO 02	Reporting year	✓	Public
OO 03	Signatory category and services	✓	Public
OO 04	Other offerings/business activities	🔒	n/a
OO 05	Breakdown of ESG coverage	✓	Asset mix disclosed in OO 06
OO End		✓	-

Strategy and Governance			
Indicator	Short description	Status	Disclosure
SG 01	Responsible investment policy	✓	Public
SG 02	Norms used to develop policies	✓	Public
SG 03	Policy offerings to clients	✓	Public
SG 04	ESG/RI in business offerings	✓	Public
SG 05	Outsourcing of services	✓	Private
SG 06	Providing training/education	✓	Public
SG 07	Applying, advancing and promoting the PRI principles	✓	Public
SG 08	Actions taken to promote responsible investment	✓	Public
SG 09	Long term trends	✓	Public
SG 10	Interaction with asset owners	🔒	n/a
SG 11	Aligning approach with investor goals	✓	Public
SG 12	ESG recommendations not aligned with investor goals	✓	Public
SG 13	Seeking feedback from clients	✓	Public
SG 14	Managing conflicts of interest	✓	Public
SG End		✓	-

Research and Data Provision			
Indicator	Short description	Status	Disclosure
RDP 01	Product type	✓	Public
RDP 02	Sources for research and/or rating	✓	Public
RDP 03	Stakeholder input	✓	Public
RDP 04	Up-to-date assessment and ratings	✓	Public
RDP 05	Balanced research and assessment	✓	Public
RDP 06	Consistency and comparability	✓	Public
RDP 07	Emerging ESG issues and trends	✓	Private
RDP 08	Client use of outputs	✓	Private
RDP 09		-	n/a
RDP End		✓	-

Confidence building measures

Indicator	Short description	Status	Disclosure
CM1 01	Other product offerings, processes and/or activities	✓	Private
CM1 02	Assurance of responses	✓	Private
CM1 End	Module confirmation page	✓	-

RepRisk AG

Reported Information

Public version

Organisational Overview

PRI disclaimer

This document presents information reported directly by signatories. This information has not been audited by the PRI Secretariat or any other party acting on their behalf. While this information is believed to be reliable, no representations or warranties are made as to the accuracy of the information presented, and no responsibility or liability can be accepted for any error or omission.

Basic Information

OO 01

Mandatory

Public

Descriptive

OO 01.1 Select the location of your organisation's headquarters.

Switzerland

OO 01.2 Indicate the number of countries in which you have offices (including your headquarters).

- 1
- 2 - 5
- 6 - 10
- 11 - 50
- >50

OO 01.3 Indicate the regions where you have offices.

- Africa/Middle East
- Asia (excluding Japan & Korea)
- Australasia
- Canada
- Continental Europe
- Japan & Korea
- Nordic
- South America
- UK & Ireland
- US

OO 01.4 Indicate the approximate number of staff in your organisation in full-time equivalents (FTE).

FTE

125

OO 01.5 Additional information.[OPTIONAL]

Founded in 1998 and headquartered in Switzerland, RepRisk is a pioneer in ESG data science that leverages the combination of AI and machine learning with human intelligence to systematically analyze public information and identify material ESG risks. RepRisk's flagship product, the RepRisk ESG Risk Platform, is the world's largest and most comprehensive due diligence database on ESG and business conduct risks, with expertise in 20 languages and coverage of 140,000+ public and private companies and 35,000+ infrastructure projects. For more than a decade, the world's leading financial institutions and corporations have trusted RepRisk for due diligence and risk management across their operations, business relationships, and investments.

Reporting Year

OO 02

Mandatory

Public

Descriptive

OO 02.1 Indicate the year end date for your reporting year.

31/12/2019

Signatory category and services

OO 03

Mandatory

Public

Gateway

OO 03.1 Indicate which of the following categories reflect your core offering or main business activity:

- Reporting
- Active Ownership Services
- Investment consultancy
- Research and Data Provision
- Other, specify (1)
- Other, specify(2)

OO 03.2 Indicate any other business activities that your organisation offers. Indicate whether you wish to report on these business areas.

- Reporting
- Active Ownership Services
- Investment consultancy
- Other, specify (3)
- Other, specify (4)
- None of the above

OO 03.3 Attach a graph showing a breakdown of your business activities. [OPTIONAL]

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Strategy and Governance

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Policy on ESG beliefs

SG 01

Mandatory

Public

Gateway

SG 01.1

Describe how your organisation's philosophy incorporates environmental, social and governance factors, and the link to your business offerings.

RepRisk is a global leader and pioneer in data science, specializing in premium ESG and business conduct risk research and quantitative solutions. ESG factors are not only the core of our business offerings but inherently incorporated in our organizations philosophy. We are committed to considering and respecting ESG factors, both within our own business and through our work with clients and partners.

RepRisk helps its clients prevent and manage ESG and business conduct risks related to their operations, business relationships, and investments. Our suite of risk management and compliance tools helps to reduce blind spots and shed light on potential business conduct risks that may lead to reputational, compliance, and financial risks. We believe that responsible business conduct goes hand-in-hand with sound financial and reputational risk management, operational excellence, and profitable growth in the medium and long term.

RepRisk's mission is to provide transparency on business conduct risks to help drive accountability and responsible behavior of companies, thus creating positive change. Through our business, we raise awareness among our clients and partners of ESG issues and help them to identify and assess risks both in their own business as well as in their extended business relationships. Our aspiration impacts planet and people, by making a significant contribution to sustainable development, as well as being a valued business partner and employer. We aim to increase accountability across all institutions. In our daily operations and behavior, we strive to live our corporate values:

- Operational excellence and superior quality
- Reliability and responsiveness
- Intellectual honesty and curiosity
- Flexible, open workplace
- Ownership and goal-oriented teamwork
- Personal and professional growth
- Humility

In order to further clarify our expected behavior from employees, we established our own Code of Ethics, which is called "The RepRisk Way". The document sets forth our values and ethical standards to help our employees conduct their actions in line with the company's expectation. All managers as well as other employees undertake to live out these fundamental values in their daily work and actively implement them in a responsible way. Our staff members have a very good understanding of ESG issues and topics, particularly because of the core business activities of RepRisk, which focuses on the identification and analysis of ESG risks. Through our regularly published reports we offer valuable insight into key ESG topics in various geographies and sectors.

SG 01.2

Indicate whether you have policies that formalise the incorporation of your ESG beliefs in your business offerings.

Yes

SG 01.3

Indicate the components/types of your internal policy and provide the URL and/or an attachment of the document/s if they are publicly available.

Policy components/types

- Policy setting out your overall ESG/RI approach
- Formalised guidelines on environmental factors

Public availability

- URL
- Attachment
- Not publicly available
- Formalised guidelines on social factors

Public availability

- URL
- Attachment
- Not publicly available
- Formalised guidelines on corporate governance factors

Public availability

- URL
- Attachment
- Not publicly available
- Formalised guidelines on engagement approach
- Formalised guidelines on (proxy) voting approach
- Other, specify (1)
Vision, Mission, and Values statement; Internal Code of Ethics; Environmental Policy; Gifts and Entertainment Policy; Employee Handbook

Public availability

- URL
- Attachment
- Not publicly available
- Other, specify (2)
- None of the above

SG 01.4 Indicate how these are put into practice internally across the organisation.

- Regular employee training on RI/ESG
- Regular RI/ESG policy awareness building for employees
- Establishment of policy review committee/team
- Remuneration linked to achieving ESG objectives
- Annual performance incentives
- Other, specify (1)
Regular review of internal policies; online feedback and surveys

- Other, specify (2)
- Other, specify (3)
- No

SG 02	Mandatory	Public	Descriptive
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SG 02.1	Indicate what frameworks and guidelines you have used to develop your organisation's policies. Tick all that apply.
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- UN Principles for Responsible Investment
- UN Global Compact Principles
- UN Guiding Principles on Business and Human Rights
- Universal Declaration of Human Rights
- UN Sustainable Development Goals
- International Bill of Human Rights
- International Labour Organisation Conventions
- IFC Performance Standards
- United Nations Convention Against Corruption
- OECD Principles of Corporate Governance
- OECD Guidelines for Multinational Enterprises
- GRI standards
- Other, specify (1)
- Other, specify (2)
- Other, specify (3)
- None of the above

SG 03	Mandatory	Public	Descriptive
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SG 03.1	Indicate whether you offer standard, off the shelf, policies to clients as part of your product offerings, and/or whether you create tailored versions for individual clients.
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	Off the shelf	Tailored
Asset class-specific RI guidelines	<input type="checkbox"/>	<input type="checkbox"/>
Sector-specific RI guidelines	<input type="checkbox"/>	<input type="checkbox"/>
Screening/exclusions policy	<input type="checkbox"/>	<input type="checkbox"/>
Engagement policy	<input type="checkbox"/>	<input type="checkbox"/>
(Proxy) voting policy	<input type="checkbox"/>	<input type="checkbox"/>
RI guidelines set out within the Investment Policy Statement (IPS)	<input type="checkbox"/>	<input type="checkbox"/>
RI guidelines set out in a freestanding RI policy	<input type="checkbox"/>	<input type="checkbox"/>
Multi-year RI implementation project plan	<input type="checkbox"/>	<input type="checkbox"/>
Conflict of interest management policy	<input type="checkbox"/>	<input type="checkbox"/>
Stockbroker allocation policy	<input type="checkbox"/>	<input type="checkbox"/>
Securities lending policy	<input type="checkbox"/>	<input type="checkbox"/>
Other, please specify (1)	<input type="checkbox"/>	<input type="checkbox"/>
Other, please specify (2)	<input type="checkbox"/>	<input type="checkbox"/>
Other, please specify (3)	<input type="checkbox"/>	<input type="checkbox"/>
None of the above	<input checked="" type="checkbox"/>	<input type="checkbox"/>

SG 04	Mandatory	Public	Descriptive
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SG 04.1	Briefly describe how you include ESG/RI factors as part of your business offerings.
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Business area

Research and Data Provision

How you include ESG/RI factors

RepRisk is a global leader and pioneer in data science, specializing in premium ESG and business conduct risk research and quantitative solutions. Since 2006, RepRisk has been leveraging artificial intelligence and curated human analysis to translate big data into actionable business intelligence and risk metrics. The RepRisk ESG Risk Platform provides ESG risk profiles for more than 140,000 companies and 35,000 infrastructure projects worldwide, enabling in-depth risk research also on sectors, countries. It can be used to identify industry-specific material ESG risks in line with the SASB standards or monitor ESG risks. We help our clients prevent and manage ESG and business conduct risks related to their operations, business relationships, and investments. Through our business, we raise awareness among our clients and partners of ESG issues, and help them to identify and assess risks both in their own business as well as in their extended business relationships.

SG 04.2

Indicate the roles in your organisation, and indicate for each whether they have oversight and/or implementation responsibilities for ESG/RI within the organisation.

Roles present in your organisation	Oversight/accountability for ESG/RI	Implementation of ESG/RI
Board	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Directors	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Chief Executive Officer (CEO), Chief Financial Officer (CFO)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Chief Operating Officer (COO), Chief Information Officer (CIO)	<input type="checkbox"/>	<input type="checkbox"/>
Other chief-level staff	<input checked="" type="checkbox"/> <div style="background-color: #0070C0; color: white; padding: 2px; text-align: center;">Please specify</div> Observe ESG landscape and develop business solutions and strategy accordingly.	<input checked="" type="checkbox"/> <div style="background-color: #0070C0; color: white; padding: 2px; text-align: center;">Please specify</div> Ensure internal policies are executed and ESG offerings meet needs of clients and partners.
Other heads of department	<input checked="" type="checkbox"/> <div style="background-color: #0070C0; color: white; padding: 2px; text-align: center;">Please specify</div> Observe ESG landscape and develop business solutions and strategy accordingly.	<input checked="" type="checkbox"/> <div style="background-color: #0070C0; color: white; padding: 2px; text-align: center;">Please specify</div> Ensure internal policies are executed and ESG offerings meet needs of clients and partners.
Dedicated ESG/RI staff	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Other role, specify (1)	<input type="checkbox"/>	<input type="checkbox"/>
Other role, specify (2)	<input type="checkbox"/>	<input type="checkbox"/>
Other role, specify (3)	<input type="checkbox"/>	<input type="checkbox"/>
None of the above	<input type="checkbox"/>	<input type="checkbox"/>

SG 04.3

Indicate how you ensure ESG/RI expertise for the roles where there are RI oversight/accountability or implementation responsibilities.

- Staff training on ESG/RI incorporation
- Including ESG/RI knowledge factors in personal development goals
- Having development plans that aim to enhance ESG/RI knowledge of certain departments/teams/individuals
- Including ESG in selection requirements when hiring new employees
- Other, specify (1)
- Other, specify (2)
- Other, specify (3)
- Other, specify (4)

SG 04.4

Indicate whether your organisation has any ESG/RI linked incentives for its employees.

- Yes
- No
- Not applicable, we ensure that employees incorporate ESG/RI into services through other mechanisms.

SG 04.6

Describe how you ensure that your employees incorporate ESG/RI into services through other mechanisms than ESG/RI linked incentives.

As a premium due diligence solution, we help our clients prevent and manage ESG and business conduct risks related to their operations, business relationships, and investments. Due to the nature of our business, ESG is inherently incorporated in all of our services.

SG 06**Mandatory****Public****Descriptive****SG 06.1**

Indicate whether you provide training/educational services on ESG/RI. Tick all that apply.

- Board/trustee training
- Investment manager training
- Regulatory bodies/authorities or public policy makers
- Corporate executive training
- ESG analyst training
- Other, specify (1)
We have department wide training on ESG/RI in place using external providers and it is mandatory for all members of the team.
- Other, specify (2)
- Other, specify (3)
- Other, specify (4)
- None of the above

SG 06.2	Describe the main components of your training/educational services on ESG/RI and any variations depending on the group you provide training/education to.
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Training on ESG/RI is mandatory for all client-facing team members. We're using internal but also external providers who are specialized, including the PRI Academy. We further have a Learning and Development set up for all staff that is available online at all times and covers ESG/RI relevant topics as well.

SG 06.3	Describe whether these training/educational services include any commercial elements.
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Training is provided internally and has no commercial elements.

SG 07	Mandatory	Public	Descriptive
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SG 07.1	Describe how your organisation applies, advances and promotes the PRI Principles.
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The RepRisk ESG Risk Platform is a database that is used by portfolio managers, research analysts, and responsible investment teams at leading asset managers around the world for ESG integration and investment analysis across all asset classes, company research and engagement, as well as screening and monitoring of any investment universe or portfolio for ESG and business conduct risks.

RepRisk further provides ESG research that is used in the PRI Academy courses as Case Studies.

SG 08	Mandatory	Public	
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SG 08.1	Indicate which of the following actions your organisation has taken to promote responsible investments during the reporting year, independently of collaborative initiatives.
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- Provided or supported education or training programmes for clients, investment managers, legal advisers or other investment organisations
- Provided financial support for academic or industry research on responsible investment
- Encouraged better transparency and disclosure of responsible investment practices across the investment industry
- Spoken publicly at events and conferences to promote responsible investment
- Written and published in-house research papers on responsible investment
- Encouraged the adoption of the PRI
- Written and published articles on responsible investment in the media
- Policy engagements and other interactions
- Other, specify
Written and published in-house research papers on various ESG topics.
- None of the above

SG 09	Mandatory	Public	Descriptive
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SG 09.1 Indicate which of the following long-term trends are addressed in your product outputs.

- Changing demographics
- Climate change

SG 09.2 Explain how this long-term trend affects your product outputs.

Our products help our clients to identify and manage risks related to environmental issues including climate change, GHG emissions, global and local pollution.

- Resource scarcity

SG 09.2 Explain how this long-term trend affects your product outputs.

Our products help our clients to identify and manage risks related to water scarcity as well as general overuse and wasting of resources.

- Technology developments
- Other, specify (1)
- Other, specify (2)
- None of the above

SG 11

Mandatory

Public

Descriptive

SG 11.1

Describe how you typically align your organisation's philosophy and approach to ESG/RI with your investor clients' goals.

- Describe

RepRisk helps investors identify, assess, and monitor ESG and business conduct risks in their investments and business partners.

Business conduct risks related to human rights, labor, the environment, and corruption can translate into reputational, compliance, and financial risks for a company. RepRisk can help investors by providing solutions that facilitate ESG integration and investment analysis across all asset classes, company research and engagement, as well as screening and daily monitoring of risks of any investment universe or portfolio for ESG and business conduct risks.

RepRisk's mission is to provide transparency on business conduct risks to help drive accountability and responsible behavior of companies, thus creating positive change.

- This is not relevant for my organisation

SG 12

Mandatory

Public

Descriptive

SG 12.1

Describe what steps you take, if any, when your ESG recommendations are not in line with your investor clients' goals.

- Describe
- This is not relevant for my organisation

SG 13

Mandatory

Public

Descriptive

SG 13.1

Indicate whether you seek feedback from clients on your RI/ESG services and product offerings

Yes

SG 13.2

Describe how you use this feedback in your RI/ESG services and product offerings.

RepRisk collects and analyzes feedback from clients on an annual, systematic basis with the help of an annual online survey. In addition, our sales and client services team gathers feedback during its daily interactions with clients. We strive to continuously improve our services and products to meet the highest standards in quality.

No

SG 14

Mandatory

Public

Descriptive

SG 14.1

Indicate whether your organisation has a policy for managing potential conflicts of interest.

Yes

SG 14.2

Describe how you manage potential conflicts of interest.

RepRisk developed its own Code of Ethics, which contains guidance on conflicts of interest, as well as some practical examples through questions and answers. Personal relationships must not result in any form of preferential treatment for clients, suppliers, colleagues and other stakeholders. All staff are expected to report any situation in which there might be a conflict between his/her personal and professional interests.

SG 14.3

Describe how you ensure that company employees do not derive any personal gain from the use of information collected during your work process.

RepRisk developed its own Code of Ethics, which contains guidance on intellectual property, confidential information, data protection, as well as some practical examples through questions and answers.

Being an intelligence and technology company, intellectual property is of the utmost importance to RepRisk. All employees are expected to protect our own intellectual property and the tools developed by our employees, as well as the one of our clients, data providers, and others.

At RepRisk, data protection is based on the "Need to protect" principle. Each employee is responsible for protecting the confidentiality of the information he/she has access to at RepRisk.

Employees also sign a non-disclosure agreement in which they agree not to disclose confidential information.

No

RepRisk AG

Reported Information

Public version

Research and Data Provision

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Product level

RDP 01

Mandatory

Public

RDP 01.1 Indicate your product type. Tick all that apply.

- Analysis
- Benchmarking reports
- ESG ratings
- Credit ratings
- ESG indices
- Raw data
- Second party opinion on green or social bonds
- Surveys
- Other, specify

UNGC Violator Flag; UNGC Principles Violations, SASB Issues Categories mapping

RDP 01.2 Indicate whether you make any of your products publicly available.

- Yes, all products
- Yes, some products
- No, we do not

Research/process level

RDP 02

Mandatory

Public

RDP 02.1 Indicate the types of sources you use for research and/or ratings of companies/sectors/geographies or similar. Tick all that apply.

- Your organisation`s independently collected data from public sources
- Regulatory sources
- Information from NGOs and other stakeholders
- In-person meetings or calls with company or industry representatives
- Primary data (self-reported from companies or other organisations)
- Secondary data (from other research provider databases)
- Other, specify (1)
- Other, specify (2)
- Other, specify (3)

RDP 02.2

Indicate how ESG factors are incorporated into your research and/or rating methodology

- Identifying exposure and risk to ESG factors
- Considering responses to self-assessment questions on ESG
- Assessing current and future capacity to manage or mitigate ESG risks
- Assessing past and potential future performance on ESG factors
- Assessing alignment and compliance to different policies and regulations
- Assessing alignment and compliance explicitly to the Sustainable Development Goals (SDGs)
- Assessing current and future positive ESG impacts
- Other, specify (1)
- Other, specify (2)
- Other, specify (3)

RDP 02.3

Describe how you define materiality and how this is captured in your research and/or rating methodology as well as final product.

RepRisk’s methodology is issues- and event-driven, rather than company-driven – i.e. RepRisk screens sources and stakeholders for ESG risk incidents, in accordance with the RepRisk research scope, not a defined list of companies. This means that RepRisk offers universal coverage – i.e. RepRisk captures any company exposed to ESG risks, regardless of the company’s size, sector, country of headquarters or operations, or whether the company is listed or non-listed.

RepRisk’s core research scope is comprised of 28 ESG Issues that are broad, comprehensive, and mutually-exclusive. The 28 Issues drive the entire research process, as every risk incident in RepRisk’s ESG Risk Platform is linked to at least one of these Issues. When RepRisk screens the sources and stakeholders, it screens for any company or project linked to these Issues. The Issues were selected and defined in accordance with the key international standards related to ESG issues and business conduct, such as the World Bank Group Environmental, Health, and Safety Guidelines, the IFC Performance Standards, the Equator Principles, the OECD Guidelines for Multinational Enterprises, the ILO Conventions, and more. In addition, the Ten principles of the UN Global Compact can be specifically mapped to RepRisk’s 28 Issues.

Furthermore, RepRisk covers 58 Topic Tags, ESG “hot topics” and themes that are an extension of RepRisk’s core research scope of 28 ESG Issues. Topic Tags are specific and thematic, and one Topic Tag can be linked to multiple ESG Issues. They are a dynamic concept, with the list expanding over time in response to client feedback and emerging trends.

RDP 02.4

Additional information. [OPTIONAL]

RepRisk screens, on a daily basis, over 90,000 public sources and stakeholders in 20 languages. These include print media, online media, social media including Twitter and blogs, government bodies, regulators, think tanks, newsletters, and other online sources. These sources range from the international to the regional, national, and local level.

RepRisk believes it is important to look at performance, not just policies. Therefore, RepRisk takes an outside-in approach to assessing a company: Its research captures and analyzes information from media, stakeholders, and other public sources external to a company. This perspective helps to assess whether a company’s policies and processes are translating into actual performance on the ground. In essence, RepRisk acts as a “reality check” of a company’s business conduct. For example, a company may have a human rights policy, but what do stakeholders and sources at the local level report about how this company is handling human rights issues?

RDP 03**Mandatory****Public****Descriptive**

RDP 03.1	Describe how you actively include input and information, wherever possible, from relevant stakeholders or interested parties, in the research process or in reaching assessment conclusions.
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Born out of credit risk management, the purpose of RepRisk’s dataset is not to provide ESG ratings, but to systematically identify and assess material ESG risks. We have always taken an outside-in approach to ESG risks, by analyzing information from public sources and stakeholders and intentionally excluding company self-disclosures. It is now well-accepted that self-reported information is not reliable data – especially when it comes to risks. RepRisk screens, on a daily basis, over 90,000 public sources and stakeholders in 20 languages. These include print media, online media, social media including Twitter and blogs, government bodies, regulators, think tanks, newsletters, and other online sources. These sources range from the international to the regional, national, and local level. The data is further curated by a team of human analysts and according to RepRisk’s rules-based methodology.

RDP 04	Mandatory	Public	Descriptive
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RDP 04.1	Indicate how you ensure that your ESG assessment of companies/ sectors/ geographies or similar is up-to-date and that new information is incorporated or new assessments are conducted at reasonable intervals.
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- Regular update cycles (annual or more frequent)
- Allowing assessments/ ratings to be adjusted at any time by your organisation
- On-going alerts systems in place for new events and information that might have implications on the original assessment/ ratings
- On-going monitoring of possible controversies and compliance to conventions, regulations and similar
- Providing tools for companies/ sectors or others to update the researcher, rating agency or data provider with new information
- Other, specify (1)
- Other, specify (2)
- Other, specify (3)

RDP 04.2	Additional information. [OPTIONAL]
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RepRisk screens its sources on a daily basis. Using an event- and issues-driven research approach, RepRisk captures and analyzes new risk information every day, allowing risk metrics and ESG analysis to update and recalculate whenever new information is published. The company also issues alerts to clients in case companies of their interest are involved in any sort of risk incident or criticism related to ESG.

RDP 05	Mandatory	Public	Descriptive
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RDP 05.1	Indicate how you typically ensure a balanced approach to your research methodology and assessing/rating of companies/sectors/geographies or similar. Tick all that apply and explain your approach to each option.
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Type of indicators

- Balancing quantitative and qualitative indicators
- Balancing management/process oriented indicators and performance indicators
- Balancing reference to past and current performances, such as whether the rating object's performance is improving or deteriorating
- Balancing environmental, social and governance indicators
- Balancing self-reported and public data
- Other, specify (1)

RepRisk research scope is based on 28 ESG Issues, derived from key international standards.

Explain your approach

Our research focuses on analyzing data external to a company. All companies are being assessed following the same methodology and cannot influence their ratings. RepRisk follows a strict, rules-based research process that helps ensure consistent data over time.

- Other, specify (2)
- None of the above

RDP 05.2 Additional information. [OPTIONAL]

For more information, please visit <https://www.reprisk.com/approach>.

RDP 06	Mandatory	Public	Descriptive
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RDP 06.1 Describe the control processes in place to ensure quality of research.

Quality is of the utmost importance to RepRisk and there are multiple systems and processes in place to ensure our high-quality standards. Quality assurance has the highest impact on the service delivered to RepRisk clients in two key areas: (1) RepRisk Operations and Research and (2) RepRisk Product Development and Information Technology. These quality measures in place for both areas is further outlined below. In addition, RepRisk plans to achieve ISO 9001 certification of its quality management system.

RepRisk Operations and Research assures consistency and accuracy of the entered news items through three main measures:

- Proprietary, rule-based research process
- Comprehensive and continuously improved Analyst Manual
- Quality of the RepRisk analyst team

Quality in Product Development and Information Technology refers to two important aspects: firstly, providing RepRisk's external and internal clients with services and tools which are reliable, efficient, secure, and maintainable, and secondly, assuring the stable operations of the underlying technology platform with minimum downtime or service degradation.

RepRisk Product Development and Information Technology assures high quality standards with four key measures:

- Standard software development process with strict quality gates
- Stable IT operations including automated monitoring solutions
- Documentation of all standard procedures and regular risk assessments
- Sound data and IT security setup

RepRisk AG

Reported Information

Public version

Confidence building measures

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