



# RI TRANSPARENCY REPORT

2020

Ethical Markets Media, LLC

## About this report

This report is an export of the individual Signatory organisation responses to the PRI Service Provider Reporting Framework during the 2020 reporting period. It shows your responses to mandatory indicators, as well as responses to voluntary indicators that your organisation has agreed to make public. You will be able to access the public RI Transparency report of your organisation and that of other signatories on the [PRI website](#).

The information is presented exactly as it was reported. Where an indicator offers a response option that is multiple-choice, all options that were available to the signatory to select are presented in this report. Presenting the information exactly as reported is a result of signatory feedback which suggested the PRI not summarise the information. As a result, the reports can be extensive. However, to help easily locate information, there is an **Index** which highlights where the information can be found and summarises the indicators that signatories complete and disclose.

## Understanding the Index

The Index summarises the response status for the individual indicators and modules. It can be used by stakeholders as an 'at a glance' summary of reported information and to identify particular themes or areas of interest.

All indicators within a module are presented below. The status of indicators is shown with the following symbols:

Symbol	Status
✓	The signatory has completed all mandatory parts of this indicator
☑	The signatory has completed some parts of this indicator
🔒	This indicator was not relevant for this signatory
-	The signatory did not complete any part of this indicator.
🔍	The signatory has flagged this indicator for internal review

Within the table, indicators marked in blue are mandatory to complete. Indicators marked in grey are voluntary to complete.

# Index

Organisational Overview			
Indicator	Short description	Status	Disclosure
OO 01	Basic information	✓	Public
OO 02	Reporting year	✓	Public
OO 03	Signatory category and services	✓	Public
OO 04	Other offerings/business activities	✓	Public
OO 05	Breakdown of ESG coverage	✓	Asset mix disclosed in OO 06
OO End		✓	-

Strategy and Governance			
Indicator	Short description	Status	Disclosure
SG 01	Responsible investment policy	✓	Public
SG 02	Norms used to develop policies	✓	Public
SG 03	Policy offerings to clients	✓	Public
SG 04	ESG/RI in business offerings	✓	Public
SG 05	Outsourcing of services	✓	Public
SG 06	Providing training/education	✓	Public
SG 07	Applying, advancing and promoting the PRI principles	✓	Public
SG 08	Actions taken to promote responsible investment	✓	Public
SG 09	Long term trends	✓	Public
SG 10	Interaction with asset owners	🔒	n/a
SG 11	Aligning approach with investor goals	✓	Public
SG 12	ESG recommendations not aligned with investor goals	✓	Public
SG 13	Seeking feedback from clients	✓	Public
SG 14	Managing conflicts of interest	✓	Public
SG End		✓	-

Reporting			
Indicator	Short description	Status	Disclosure
REP 01	Product type	✓	Public
REP 02	Reporting frameworks/standards/guidelines	✓	Public
REP 03	Incorporating ESG	✓	Public
REP 04	ESG in materiality analysis	✓	Public
REP 05	Assuring ESG	🔒	n/a
REP End		✓	-

## Research and Data Provision

Indicator	Short description	Status	Disclosure
RDP 01	Product type	✓	Public
RDP 02	Sources for research and/or rating	✓	Public
RDP 03	Stakeholder input	✓	Public
RDP 04	Up-to-date assessment and ratings	✓	Public
RDP 05	Balanced research and assessment	✓	Public
RDP 06	Consistency and comparability	✓	Public
RDP 07	Emerging ESG issues and trends	✓	Private
RDP 08	Client use of outputs	✓	Private
RDP 09		✓	Private
RDP End		✓	-

## Confidence building measures

Indicator	Short description	Status	Disclosure
CM1 01	Other product offerings, processes and/or activities	-	n/a
CM1 02	Assurance of responses	✓	Private
CM1 End	Module confirmation page	✓	-

# Ethical Markets Media, LLC

## Reported Information

### Public version

### Organisational Overview

## PRI disclaimer

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## Basic Information

OO 01

Mandatory

Public

Descriptive

OO 01.1 Select the location of your organisation's headquarters.

United States

OO 01.2 Indicate the number of countries in which you have offices (including your headquarters).

- 1
- 2 - 5
- 6 - 10
- 11 - 50
- >50

OO 01.3 Indicate the regions where you have offices.

- Africa/Middle East
- Asia (excluding Japan & Korea)
- Australasia
- Canada
- Continental Europe
- Japan & Korea
- Nordic
- South America
- UK & Ireland
- US

OO 01.4 Indicate the approximate number of staff in your organisation in full-time equivalents (FTE).

FTE

2

## Reporting Year

OO 02

Mandatory

Public

Descriptive

OO 02.1 Indicate the year end date for your reporting year.

31/12/2019

## Signatory category and services

OO 03

Mandatory

Public

Gateway

OO 03.1

Indicate which of the following categories reflect your core offering or main business activity:

- Reporting
- Active Ownership Services
- Investment consultancy
- Research and Data Provision
- Other, specify (1)
- Other, specify(2)

OO 03.2

Indicate any other business activities that your organisation offers. Indicate whether you wish to report on these business areas.

- Active Ownership Services
- Investment consultancy
- Other, specify (3)
  - Promoting ethical standards for markets
- Other, specify (4)
- None of the above

OO 04

Mandatory

Public

Descriptive

OO 04.3

Please describe your non-core offering or business activity.

9,000 volume library on sustainable markets, finance, global futures.  
EthicMark® Awards for Communications Uplifting the Human Spirit and Society.  
Forthcoming e-textbook: "Mapping The Global Green Transition, 2009-2020"

# Ethical Markets Media, LLC

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### Strategy and Governance

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## Policy on ESG beliefs

SG 01

Mandatory

Public

Gateway

SG 01.1

Describe how your organisation's philosophy incorporates environmental, social and governance factors, and the link to your business offerings.

Ethical Markets Media Certified B Corporation's Mission: Reforming markets and metrics while accelerating the global transition to sustainable green economies.

SG 01.2

Indicate whether you have policies that formalise the incorporation of your ESG beliefs in your business offerings.

Yes

SG 01.3

Indicate the components/types of your internal policy and provide the URL and/or an attachment of the document/s if they are publicly available.

### Policy components/types

Policy setting out your overall ESG/RI approach

Public availability

URL

URL

<http://www.ethicalmarkets.com>

Attachment

Not publicly available

Formalised guidelines on environmental factors

Public availability

URL

URL

<http://www.ethicalbiomimicryfinance.com>

Attachment

Attachment

[File 1:TRANSFORMING FINANCE GROUP.docx](#)

- Not publicly available
- Formalised guidelines on social factors

Public availability

- URL

URL

<http://www.ethicalmarkets.com/about/mission-statement/>

- Attachment

Attachment

[File 1:Mission Statement.docx](#)

- Not publicly available
- Formalised guidelines on corporate governance factors

Public availability

- URL

URL

<http://4a5qvh23tbek30e0mg42uq87.wpengine.netdna-cdn.com/wp-content/uploads/2018/04/GTS-2018-Full-Report-1.pdf>

- Attachment

Attachment

[File 1:GTS 2018 Full Report.pdf](#)

- Not publicly available
- Formalised guidelines on engagement approach
- Formalised guidelines on (proxy) voting approach
- Other, specify (1)

<https://www.ethicalmarkets.com/transforming-finance-groups-call-recognizes-finance-as-a-global-commons/>  
"Transforming Finance Group's Call Recognizes Finance as a Global Commons"

Public availability

URL

URL

<http://www.ethicmark.org/>

Attachment

Not publicly available

Other, specify (2)

EthicMark@Gems certify only gems not mined from the earth

Public availability

URL

URL

<https://www.ethicmarkgems.com/>

Attachment

Not publicly available

None of the above

**SG 01.4** Indicate how these are put into practice internally across the organisation.

Regular employee training on RI/ESG

Regular RI/ESG policy awareness building for employees

Establishment of policy review committee/team

Remuneration linked to achieving ESG objectives

Annual performance incentives

Other, specify (1)

Global media reporting  
Brains Trust

Other, specify (2)

Global TV series for colleges and libraries

Other, specify (3)

Book reviews from ESG perspective on [www.seekingalpha.com](http://www.seekingalpha.com)

No

SG 02	Mandatory	Public	Descriptive
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**SG 02.1**

Indicate what frameworks and guidelines you have used to develop your organisation's policies. Tick all that apply.

- UN Principles for Responsible Investment
- UN Global Compact Principles
- UN Guiding Principles on Business and Human Rights
- Universal Declaration of Human Rights
- UN Sustainable Development Goals
- International Bill of Human Rights
- International Labour Organisation Conventions
- IFC Performance Standards
- United Nations Convention Against Corruption
- OECD Principles of Corporate Governance
- OECD Guidelines for Multinational Enterprises
- GRI standards
- Other, specify (1)
  - UNEP Inquiry on Design of Sustainable Finance
- Other, specify (2)
  - Global Witness
- Other, specify (3)
  - UN Resolutions on conflict and blood diamonds.
  - Earth Charter
- None of the above

**SG 03****Mandatory****Public****Descriptive****SG 03.1**

Indicate whether you offer standard, off the shelf, policies to clients as part of your product offerings, and/or whether you create tailored versions for individual clients.

	Off the shelf	Tailored
Asset class-specific RI guidelines	<input type="checkbox"/>	<input type="checkbox"/>
Sector-specific RI guidelines	<input type="checkbox"/>	<input type="checkbox"/>
Screening/exclusions policy	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Engagement policy	<input type="checkbox"/>	<input type="checkbox"/>
(Proxy) voting policy	<input type="checkbox"/>	<input type="checkbox"/>
RI guidelines set out within the Investment Policy Statement (IPS)	<input type="checkbox"/>	<input type="checkbox"/>
RI guidelines set out in a freestanding RI policy	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Multi-year RI implementation project plan	<input type="checkbox"/>	<input type="checkbox"/>
Conflict of interest management policy	<input type="checkbox"/>	<input type="checkbox"/>
Stockbroker allocation policy	<input type="checkbox"/>	<input type="checkbox"/>
Securities lending policy	<input type="checkbox"/>	<input type="checkbox"/>
Other, please specify (1)	<input type="checkbox"/>	<input type="checkbox"/>
Other, please specify (2)	<input type="checkbox"/>	<input type="checkbox"/>
Other, please specify (3)	<input type="checkbox"/>	<input type="checkbox"/>
None of the above	<input type="checkbox"/>	<input type="checkbox"/>

**SG 03.2**

Provide a brief description of the key elements, any variations, or exceptions applying to these policies.

Incorporated in our Green Transition Scoreboard®; Principles of Ethical Biomimicry Finance®

**SG 04**

**Mandatory**

**Public**

**Descriptive**

**SG 04.1**

Briefly describe how you include ESG/RI factors as part of your business offerings.

**Business area**

Reporting

How you include ESG/RI factors

In all daily RSS Feed News and commentaries. TV series.

Research and Data Provision

How you include ESG/RI factors

Green Transition Scoreboard®  
TV series "Transforming Finance"  
EthicMark® GEMS  
EthicMark® Awards

Other, specify (3)

Principles of Ethical Biomimicry Finance®  
EthicMark® Awards

TV series "Transforming Finance"  
Principles of Ethical Biomimicry Finance®

How you include ESG/RI factors

In all daily RSS Feed News and commentaries and TV series.  
EthicMark® Awards  
Forthcoming e-textbook: "Mapping the Global Green Transition, 2009-2020"

**SG 04.2**

Indicate the roles in your organisation, and indicate for each whether they have oversight and/or implementation responsibilities for ESG/RI within the organisation.

Roles present in your organisation	Oversight/accountability for ESG/RI	Implementation of ESG/RI
Board	<input type="checkbox"/>	<input type="checkbox"/>
Directors	<input type="checkbox"/>	<input type="checkbox"/>
Chief Executive Officer (CEO), Chief Financial Officer (CFO)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Chief Operating Officer (COO), Chief Information Officer (CIO)	<input type="checkbox"/>	<input type="checkbox"/>
Other chief-level staff	<input type="checkbox"/>	<input type="checkbox"/>
Other heads of department	<input type="checkbox"/>	<input type="checkbox"/>
Dedicated ESG/RI staff	<input type="checkbox"/>	<input type="checkbox"/>
Other role, specify (1)	<input type="checkbox"/>	<input type="checkbox"/>
Other role, specify (2)	<input type="checkbox"/>	<input type="checkbox"/>
Other role, specify (3)	<input type="checkbox"/>	<input type="checkbox"/>
None of the above	<input type="checkbox"/>	<input checked="" type="checkbox"/>

**SG 04.3**

Indicate how you ensure ESG/RI expertise for the roles where there are RI oversight/accountability or implementation responsibilities.

- Staff training on ESG/RI incorporation
- Including ESG/RI knowledge factors in personal development goals
- Having development plans that aim to enhance ESG/RI knowledge of certain departments/teams/individuals
- Including ESG in selection requirements when hiring new employees
- Other, specify (1)
- Other, specify (2)
- Other, specify (3)
- Other, specify (4)

**SG 04.4** Indicate whether your organisation has any ESG/RI linked incentives for its employees.

- Yes
- No
- Not applicable, we ensure that employees incorporate ESG/RI into services through other mechanisms.

<b>SG 05</b>	<b>Mandatory to Report Voluntary to Disclose</b>	<b>Public</b>	<b>Descriptive</b>
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**SG 05.1** Indicate whether you outsource some of your services. Report percentage of services outsourced as percentage for that specific business area.

**Business area**

- Reporting

Percentage of service outsourced

- <10%
- 10-50%
- >50%

- Research and Data Provision

Percentage of service outsourced

- <10%
- 10-50%
- >50%
- Other, specify (3)
- None of the above

**SG 05.2** For each business area, describe what components you outsource to other organisations.

Collating data for Green Transition Scoreboard®

**SG 05.3** For each business area, describe how you ensure the organisation implementing your outsourced services adheres to your beliefs and policies on ESG/RI.

Website management, legal, banking, accounting services all ESG focused.

<b>SG 06</b>	<b>Mandatory</b>	<b>Public</b>	<b>Descriptive</b>
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**SG 06.1** Indicate whether you provide training/educational services on ESG/RI. Tick all that apply.

- Board/trustee training
- Investment manager training
- Regulatory bodies/authorities or public policy makers
- Corporate executive training
- ESG analyst training
- Other, specify (1)  
Seminars for corporate executives
- Other, specify (2)  
Service on Advisory Boards, e.g. Public Banking Institute, Capital Institute, Biomimicry Institute, Solar Coin (see partners on [www.ethicalmarkets.com](http://www.ethicalmarkets.com))
- Other, specify (3)  
Hazel Henderson, Advisor to ECOR ([www.ecorglobal.com](http://www.ecorglobal.com))
- Other, specify (4)
- None of the above

**SG 06.2** Describe the main components of your training/educational services on ESG/RI and any variations depending on the group you provide training/education to.

Providing Retreats for executives to have open discussion and debate around sustainable technologies and ethical standards. Developing methods for monitoring assumption in algorithms.

**SG 06.3** Describe whether these training/educational services include any commercial elements.

Raising global ethical standards, norms, benchmarks, criteria for sustainable technologies in Green Transition Scoreboard®

<b>SG 07</b>	<b>Mandatory</b>	<b>Public</b>	<b>Descriptive</b>
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**SG 07.1** Describe how your organisation applies, advances and promotes the PRI Principles.

Reporting daily on ESG and SDGs, in TV programs, lectures by Hazel Henderson radio interviews, syndicated columns.

**SG 07.2** Highlight whether there are any ways that your organisation would like to engage further with the PRI. [OPTIONAL]

Nominations for our EthicMark® Awards for 2019 at [www.ethicmark.org](http://www.ethicmark.org). Helping our effort of phase out global gem mining (now unnecessary) with our standard "EthicMark® GEMS" certification [www.ethicmarkgems.com](http://www.ethicmarkgems.com).

Help widen use of our forthcoming e-textbook: "Mapping the Global Green Transition, 2009-2020"

<b>SG 08</b>	<b>Mandatory</b>	<b>Public</b>	
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**SG 08.1**

Indicate which of the following actions your organisation has taken to promote responsible investments during the reporting year, independently of collaborative initiatives.

- Provided or supported education or training programmes for clients, investment managers, legal advisers or other investment organisations
- Provided financial support for academic or industry research on responsible investment
- Encouraged better transparency and disclosure of responsible investment practices across the investment industry
- Spoken publicly at events and conferences to promote responsible investment
- Written and published in-house research papers on responsible investment
- Encouraged the adoption of the PRI
- Written and published articles on responsible investment in the media
- Policy engagements and other interactions
- Other, specify

Member: American Sustainable Business Council, Green Economy Coalition

- None of the above

**SG 09****Mandatory****Public****Descriptive****SG 09.1**

Indicate which of the following long-term trends are addressed in your product outputs.

- Changing demographics
- Climate change

**SG 09.2**

Explain how this long-term trend affects your product outputs.

Monitor global trends as inputs to our research and commentaries

- Resource scarcity
- Technology developments

**SG 09.2**

Explain how this long-term trend affects your product outputs.

Monitor global trends as inputs to our research and commentaries

- Other, specify (1)

Ethical standards for markets.  
TV series "Reforming Finance"

**SG 09.2**

Explain how this long-term trend affects your product outputs.

Monitor global trends as inputs to our research and commentaries.

- Other, specify (2)
- None of the above

SG 11	Mandatory	Public	Descriptive
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**SG 11.1** Describe how you typically align your organisation's philosophy and approach to ESG/RI with your investor clients' goals.

Describe

Company's entire mission is ESG/RI and promoting global transition to low-carbon, inclusive, sustainable societies and SDGs.

This is not relevant for my organisation

SG 12	Mandatory	Public	Descriptive
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**SG 12.1** Describe what steps you take, if any, when your ESG recommendations are not in line with your investor clients' goals.

Describe

This is not relevant for my organisation

SG 13	Mandatory	Public	Descriptive
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**SG 13.1** Indicate whether you seek feedback from clients on your RI/ESG services and product offerings

Yes

**SG 13.2** Describe how you use this feedback in your RI/ESG services and product offerings.

Incorporate where relevant in our reporting, TV series and news.

No

SG 14	Mandatory	Public	Descriptive
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**SG 14.1** Indicate whether your organisation has a policy for managing potential conflicts of interest.

Yes

**SG 14.2** Describe how you manage potential conflicts of interest.

No clients. Ethical Markets remains a global multi-media company promoting SDGs.

Ethical Markets accepts no advertising.

**SG 14.3**

Describe how you ensure that company employees do not derive any personal gain from the use of information collected during your work process.

Our research is in the public interest and free to all at our URL's and MOOC ([www.ethicalmarketsexploratorium.com](http://www.ethicalmarketsexploratorium.com))

No

Ethical Markets Media, LLC

Reported Information

Public version

Reporting

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## Type of reporting and assurance

REP 01	Mandatory	Public	Gateway
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**REP 01.1** Indicate the type of reporting that you prepare on behalf of clients. Tick all that apply.

- Integrated reporting
- RI reporting
- Sustainability reporting
- Financial reporting
- Other, specify (1)  
Daily reporting on RSS Feed ESG, SDGs, UNPCCC
- Other, specify (2)  
Green Transition Scoreboard®
- None of the above

**REP 01.2** Indicate whether your services include any variety of third party assurance or certification/labelling scheme of clients' ESG/RI data, processes or products.

- Yes
- No

## Reporting frameworks/standards/guidelines

REP 02	Mandatory	Public	
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**REP 02.1** Indicate what reporting frameworks/ standards/ guidelines you typically use when preparing reports for clients. Tick all that apply.

- OECD Guidelines for Multinational Enterprises
- OECD Principles of Corporate Governance
- ILO
- ISO, specify  
Refer to their standards on reports

- UN Global Compact
- GRI
- SASB
- IIRC
- PRI
- CDP
- Stewardship code
- Task Force on Climate-related Financial Disclosures (TCFD) recommendations
- Other, specify (1)
  - UN Inquiry on Sustainable Financing Cooperatives, Credit Unions and Public Banking Institute.
- Other, specify (2)
  - WAAS - UNOG - UNCTAD, Club of Rome's Green Economy Initiative, Global Leadership in the 21st Century, Geneva, Oct, 2020

## Incorporating ESG

REP 03

Mandatory

Public

**REP 03.1** Indicate whether you incorporate ESG factors into your reporting products for clients.

- Yes, we systematically do
- Yes, we occasionally do
- No, we do not

**REP 03.2** If yes, describe how you typically incorporate E, S, and G factors into the reporting.

This ESG reporting and Green Economy and SDGs is our mission

## ESG in materiality analysis

REP 04

Mandatory

Public

Descriptive

**REP 04.1** Indicate whether ESG is part of the materiality analysis you perform when preparing clients' reports.

- Yes, ESG is systematically part of the materiality analysis
- Yes, ESG is occasionally part of the materiality analysis
- No, ESG is not part of the materiality analysis

**REP 04.3** Describe the process you undertake for materiality analysis. [OPTIONAL]

See on Green Transition Scoreboard®  
Encourage additional signers to our 2010 statement on Transforming Finance.

Ethical Markets Media, LLC

Reported Information

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Research and Data Provision

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## Product level

RDP 01

Mandatory

Public

**RDP 01.1** Indicate your product type. Tick all that apply.

- Analysis
- Benchmarking reports
- ESG ratings
- Credit ratings
- ESG indices
- Raw data
- Second party opinion on green or social bonds
- Surveys
- Other, specify

Daily news post to RSS News/Current Issues and Ethical Markets TV Series  
Surveys on [www.Globescan.com](http://www.Globescan.com) on GDP

**RDP 01.2** Indicate whether you make any of your products publicly available.

- Yes, all products
- Yes, some products
- No, we do not

**RDP 01.3** Additional information. [OPTIONAL]

We are a Certified B Corporation founded in 2004. Mainly funded by our founder and CEO Hazel Henderson. Ethical Markets accepts no advertising.

## Research/process level

RDP 02

Mandatory

Public

**RDP 02.1**

Indicate the types of sources you use for research and/or ratings of companies/sectors/geographies or similar. Tick all that apply.

- Your organisation`s independently collected data from public sources
- Regulatory sources
- Information from NGOs and other stakeholders
- In-person meetings or calls with company or industry representatives
- Primary data (self-reported from companies or other organisations)
- Secondary data (from other research provider databases)
- Other, specify (1)  
IPCC, IPBES
- Other, specify (2)  
UN, EU
- Other, specify (3)  
Global reporting of ScienceDaily, and New Scientist

**RDP 02.2**

Indicate how ESG factors are incorporated into your research and/or rating methodology

- Identifying exposure and risk to ESG factors
- Considering responses to self-assessment questions on ESG
- Assessing current and future capacity to manage or mitigate ESG risks
- Assessing past and potential future performance on ESG factors
- Assessing alignment and compliance to different policies and regulations
- Assessing alignment and compliance explicitly to the Sustainable Development Goals (SDGs)
- Assessing current and future positive ESG impacts
- Other, specify (1)  
Carbon Tracker
- Other, specify (2)  
Trucost ESG Analysis  
We Are Still In (signator)
- Other, specify (3)

**RDP 02.3**

Describe how you define materiality and how this is captured in your research and/or rating methodology as well as final product.

Data collection, assessment, analysis and evaluation of data.  
Information is collection for annual publication of Green Transition Scoreboard®.

**RDP 03****Mandatory****Public****Descriptive****RDP 03.1**

Describe how you actively include input and information, wherever possible, from relevant stakeholders or interested parties, in the research process or in reaching assessment conclusions.

Research for our annual Green Transition Scoreboard®, 2009-2020, (tracking private investments in Green Sectors worldwide). TV Series "Transforming Finance", distribution worldwide at [www.films.com](http://www.films.com).

<b>RDP 03.2</b>	Additional information. [OPTIONAL]
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ETHicMark® Awards for Communications Uplifting the Human Spirit & Society-announces winners annually at the SRI Conference. [www.ethicmark.org](http://www.ethicmark.org).

<b>RDP 04</b>	<b>Mandatory</b>	<b>Public</b>	<b>Descriptive</b>
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<b>RDP 04.1</b>	Indicate how you ensure that your ESG assessment of companies/ sectors/ geographies or similar is up-to-date and that new information is incorporated or new assessments are conducted at reasonable intervals.
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- Regular update cycles (annual or more frequent)
- Allowing assessments/ ratings to be adjusted at any time by your organisation
- On-going alerts systems in place for new events and information that might have implications on the original assessment/ ratings
- On-going monitoring of possible controversies and compliance to conventions, regulations and similar
- Providing tools for companies/ sectors or others to update the researcher, rating agency or data provider with new information
- Other, specify (1)  
Basic on Ethical Markets Mission Statement include IIRC, SASB, Green Bond Standards, UN Inquiry on Sustainable Finance.
- Other, specify (2)  
TFCD
- Other, specify (3)

<b>RDP 05</b>	<b>Mandatory</b>	<b>Public</b>	<b>Descriptive</b>
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<b>RDP 05.1</b>	Indicate how you typically ensure a balanced approach to your research methodology and assessing/rating of companies/sectors/geographies or similar. Tick all that apply and explain your approach to each option.
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**Type of indicators**

- Balancing quantitative and qualitative indicators

	Explain your approach
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- 6 forms of capital IIRC model**
- Balancing management/process oriented indicators and performance indicators
- Balancing reference to past and current performances, such as whether the rating object's performance is improving or deteriorating
- Balancing environmental, social and governance indicators

	Explain your approach
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See Green Transition Scoreboard® and Principals of Ethical Biomimiciry Finance®

- Balancing self-reported and public data
- Other, specify (1)  
Links with global NGO's and reports

**Explain your approach**

See books and articles in referred journals by Hazel Henderson.  
Fellow: Royal Academy of Arts (UK)  
World Academy of Art and Science  
Club of Rome, Honary Member

- Other, specify (2)  
Member of Green Economy Coalition

**Explain your approach**

See books and articles in referred journals by Hazel Henderson.  
Fellow: Royal Academy of Arts (UK)  
World Academy of Art and Science

- None of the above

RDP 06	Mandatory	Public	Descriptive
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**RDP 06.1** Describe the control processes in place to ensure quality of research.

See books and articles in referred journals by Hazel Henderson. In 800 libraries worldwide in over 20 languages.  
Fellow: Royal Academy of Arts (UK)  
World Academy of Art and Science

**RDP 06.2** Additional information. [OPTIONAL]

Member of Green Economy Coalition and American Sustainable Business Council

# Ethical Markets Media, LLC

## Reported Information

### Public version

### Confidence building measures

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